# STATICALLY TAME PERIODIC HOMEOMORPHISMS OF COMPACT CONNECTED 3-MANIFOLDS. II. STATICALLY TAME IMPLIES TAME

### BY

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ABSTRACT. Let f be a periodic homeomorphism  $M \leftrightarrow M$ , where M is a compact connected 3-manifold (without boundary). Suppose that for each i, the fixed-point set of  $f^i$  is a tame set. Then f is simplicial, relative to some triangulation of M.

1. Statement of results. Let M be a 3-manifold (without boundary), and let K be a triangulation of M. A set  $L \subset M$  is tame (relative to K) if there is a homeomorphism  $h: M \leftrightarrow M$  such that h(L) is a polyhedron (relative to K). By the Hauptvermutung, this condition is independent of the choice of K. Hence we define a tame set in M to be a set which is tame relative to some triangulation of M.

Let  $f: M \leftrightarrow M$  be a homeomorphism of period n. For each i, let  $F_i$  be the set of all fixed points of  $f^i$ . If each set  $F_i$  is tame, then f is statically tame. If f is simplicial, relative to some triangulation of M, then f is tame. It is trivial to observe that tameness for f implies static tameness. If f is simplicial relative to K, then f is simplicial relative to the first barycentric subdivision bK; and if  $\sigma \in bK$ , then  $f^i(\sigma) = \sigma$  only if  $f^i|\sigma$  is the identity. Thus each set  $F_i$  forms a subcomplex of bK.

Let  $n = p_1 p_2 \cdots p_r$ , where the  $p_i$ 's are primes, and  $p_i \le p_{i+1}$  for  $i \le r$ . For each  $i \le r$ , let  $n_i = n/p_i$ . It turns out that in dealing with statically tame homeomorphisms, all that we really need to know is that each set  $F_{n_i}$  is tame. If the latter condition holds, then we say that f is weakly statically tame.

THEOREM 1.1. If (1) M is compact and connected, (2) f is weakly statically tame, and (3) no point of  $\bigcup_i F_{n_i}$  is isolated, then (4) f is tame.

After the proof of Theorem 1.1 was written, there appeared a paper of J. H. Rubinstein [R] presenting a proof of the following.

THEOREM 1.2 (J. H. RUBINSTEIN). Let N be a compact connected nonorientable 3-manifold with boundary, such that the boundary Bd N is the union of two projective planes; and suppose that  $\pi(N) \approx \mathbb{Z}_2$ . Let  $\tilde{N}$  be a connected 2-fold orientable covering of N, and suppose that  $\tilde{N}$  is homeomorphic to the product  $S^2 \times I$  of a 2-sphere with a

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closed linear interval. Then there is an annulus A in N such that (1) Bd  $A = A \cap Bd N$ , (2) the two components of Bd A lie in different components of Bd N, and (3) neither component of Bd N is contractible in N.

Rubinstein remarks that as in an earlier paper of G. R. Livesay [L] it follows that N is homeomorphic to a product  $P^2 \times I$ , where  $P^2$  is a projective plane. This fills the gap in the proof of Lemma 3.1 of a paper of Morris W. Hirsch and Stephen Smale; see pp. 898-899 of [H-S]; and in [H-S] it was shown that Lemma 3.1 implies the following.

THEOREM 1.3 (HIRSCH-SMALE). Let M be a 3-manifold, let f be an involution  $M \leftrightarrow M$ , and let P be an isolated fixed point of f. Then P has a 3-cell neighborhood  $C^3$  such that  $f(C^3) = C^3$  and  $f|C^3$  is equivalent to a linear involution.

That is, there is a homeomorphism  $h: C^3 \leftrightarrow \mathbf{B}^3$ , of  $C^3$  onto the unit ball  $\mathbf{B}^3$  in  $\mathbf{R}^3$ , such that  $f = h^{-1}rh$ , where r(x, y, z) = (-x, -y, -z). Hirsch and Smale also showed (modulo their Lemma 3.1) that if  $C^3$  is as in Theorem 1.3, and  $h_0$  is a homeomorphism Bd  $C^3 \leftrightarrow \mathbf{Bd}$   $\mathbf{B}^3$ , then  $h_0$  can be extended so as to give an h as in Theorem 1.3.

Using Theorem 1.3, we can omit the ad hoc hypothesis in Theorem 1.1, obtaining the following.

THEOREM 1.4. Let M be a compact connected 3-manifold, and let f be a weakly statically tame periodic homeomorphism  $M \leftrightarrow M$ . Then f is tame.

There are well-known examples to show that a periodic homeomorphism of a compact 3-manifold need not be tame. See Montgomery and Zippin [M-Z] and Bing  $[B_1]$ . In  $[B_1]$  it is shown that f need not be tame even if  $F_1$  is empty. In this example it is easy to see that  $F_{n_1}$  is wild for some  $n_1$ .

THEOREM 1.5. Let f be a homeomorphism  $S^3 \leftrightarrow S^3$ , of period n, let F be the fixed-point set of f, and suppose that F is a tame 1-sphere. Then f is tame.

If f is known by hypothesis to preserve orientation, then this follows immediately from Theorems 1.3 and 1.1 of [M].

THEOREM 1.6. Let f and F be as in Theorem 1.5, and suppose that F is unknotted. Then f is conjugate to a rotation.

From this it follows that if f is a periodic homeomorphism  $\mathbb{R}^3 \leftrightarrow \mathbb{R}^3$ , with a straight line as its fixed-point set, then f is conjugate to a rotation. Thus Theorem 1.6 completes the solution of the problem of R. H. Bing that was cited in [M]. See [B<sub>3</sub>, p. 82].

Theorems 1.5 and 1.6 will be proved in §7.

Special thanks are due to the referee, Professor Kyung W. Kwun, for discovering that my original argument for Theorem 1.4 was defective, as applied to the case in which M is not orientable and the period n is divisible by 4, and for sketching a remedy, whose crux is Theorem 6.2.

## 2. Locally Euclidean orbit spaces.

THEOREM 2.1. Let N be a 3-manifold, and let  $g: N \leftrightarrow N$  be a homeomorphism of period n, with fixed-point set G. Suppose that G is a compact tame 1-manifold, and that g has period exactly n at each point of N-G. Let  $\Pr_g: N \longrightarrow \Omega_g$  be the projection of N onto the orbit space of g. Then  $\Omega_g$  is a 3-manifold, and  $\Pr_g G$  is tame in  $\Omega_g$ .

Here N is not required to be compact or connected, but G must be the union of a finite collection of 1-spheres  $J_i$ .

PROOF. Let K(N) be a triangulation of N in which G forms a subcomplex, and in which the components  $J_i$  of G have disjoint regular neighborhoods  $N_i$ . Evidently each  $N_i$  is either a solid torus or a "solid Klein Bottle", according as  $N_i$  is or is not orientable. Since g|(N-G) is an n-sheeted covering, it is obvious that every point of  $\Omega_g - \Pr_g G$  has open Euclidean neighborhoods in  $\Omega_g$ . Thus it will suffice to show that  $\Omega_g$  is locally Euclidean at the points of  $\Pr_g G$  and that  $\Pr_g G$  is tame.

Case 1. Suppose that  $N_i$  is a solid torus. Let  $U_i$  be a connected open neighborhood of  $J_i$  and let  $V_i = \bigcup_j g^j(U_i)$ . We choose  $U_i$  sufficiently small so that  $V_i \subset N_i$ . Let  $g_i = g|V_i$ , let  $\phi$  be a homeomorphism  $N_i \to S^3$ , such that  $\phi(J_i)$  is the boundary of a polyhedral 2-cell D in  $S^3$ , let  $M_i = \phi(V_i)$ , and let  $f_i$ :  $M_i \leftrightarrow M_i$  be defined by the condition  $f_i(P) = \phi g_i \phi^{-1}(P)$ . Then  $f_i$  is of period n, with fixed-point set  $F_i = \phi(J_i)$ , and  $f_i$  has period exactly n at each point of  $M_i - F_i$ . Thus  $M_i$ ,  $f_i$ ,  $F_i$  and n satisfy the hypothesis of Theorem 1.1 of [M]. Let  $Pr_i$ :  $M_i \to \Omega_i$  be the projection of  $M_i$  onto the orbit space of  $f_i$ . By Theorem 1.1 of [M],  $\Omega_i$  is a 3-manifold and  $Pr_iF_i$  is tame in  $\Omega_i$ . But  $\phi^{-1}$  induces a homeomorphism  $\Omega_i \to \Omega_g$ , of  $\Omega_i$  onto an open set in  $\Omega_g$ , with  $Pr_i\phi(P) \mapsto Pr_g P$ . Therefore  $\Omega_g$  is locally Euclidean at each point of  $Pr_g J_i$ , and  $Pr_o J_i$  is semilocally tame in  $\Omega_g$ . (See §3 of [M].)

Case 2. Suppose that  $N_i$  is a solid Klein Bottle. As in Case 1, we take a connected open neighborhood  $U_i$  of  $J_i$ ; we let  $V_i = \bigcup_i g^j(U_i)$ ; let  $g_i = g|V_i$ ; and choose  $U_i$ so that  $V_i \subset N$ . Let  $h: \tilde{V}_i \longrightarrow V_i$  be the 2-sheeted orientable covering of  $V_i$ . To get  $\tilde{V}_i$  and h, we take a fixed point  $P_0 \in J_i$ , and take a fixed local orientation of  $V_i$  at  $P_0$ . Then the points of  $\tilde{V}_i$  are equivalence classes [p] of PL paths p:  $[0, 1] \rightarrow V_i$ ,  $0 \mapsto P_0$ ,  $1 \mapsto P$ . Two such paths are equivalent if they have the same terminal point P and induce the same local orientation at P. We then define h([p]) = p(1). (For details of an almost identical construction, see [MGT, Theorem 21.7, p. 178].) If  $p_1$ and  $p_2$  are equivalent, then so also are  $g(p_1)$  and  $g(p_2)$ . Therefore the homeomorphism  $g_i: V_i \leftrightarrow V_i$  can be lifted so as to give a homeomorphism  $\tilde{g}_i: V_i \leftrightarrow V_i$ , with  $\tilde{g}_i([p]) = [g_i(p)]$ , so that  $h(\tilde{g}_i) = g_i h$ . Now the set  $\tilde{J}_i = h^{-1}(J_i)$  is a 1-sphere in  $\tilde{V}_i$ , and is locally tame in  $\tilde{V}_i$ , because h is a local homeomorphism. Therefore  $\tilde{J}_i$  is tame in  $\tilde{V}_i$ .  $\tilde{J}_i$  is the fixed-point set of  $\tilde{g}_i$ , and  $\tilde{g}_i$  has period exactly n at each point of  $\tilde{V}_i - \tilde{J}_i$ . Thus  $\tilde{V}_i$ ,  $\tilde{g}_i$ , and  $\tilde{J}_i$  are like the  $V_i$ ,  $g_i$ ,  $J_i$  of Case 1. Let  $\Omega(\tilde{g}_i)$  be the orbit space of  $\tilde{g}_i$ , and let  $Pr'_i : \tilde{V}_i \longrightarrow \Omega(g_i)$  be the projection. By the result of Case 1,  $\Omega(\tilde{g}_i)$ is a 3-manifold and  $Pr'_i\tilde{J}_i$  is tame in  $\Omega(\tilde{g}_i)$ . Now h:  $\tilde{V}_i \to V_i$  induces a 2-sheeted covering  $h^*: \Omega(\tilde{g}_i) \longrightarrow \Omega_i$ . To be precise, each point of  $\Omega(\tilde{g}_i)$  is a finite set A of points of  $V_i$ , and  $h^*(A) = \{h(P)|P \in A\}$ ; the latter set is a point of  $\Omega_i$ . Thus  $\Omega_i$  is a 3-manifold. Also,  $h^*(Pr_i\tilde{J}_i) = Pr_{\sigma}J_i$  under the above definition, because the points

of  $h^*(\Pr_i'\tilde{J}_i)$  are the singletons  $\{P\}$ , where  $P \in J_i$ ; these are the points of  $\Pr_g J_i$ . Since  $\Pr_i'\tilde{J}_i$  is locally tame in  $\Omega(\tilde{g}_i)$ , it follows that  $\Pr_g J_i$  is locally tame in  $\Omega_i$ .

Thus  $\Omega_g$  is a 3-manifold and  $\Pr_g G = \bigcup_i \Pr_g J_i$  is locally tame in  $\Omega_g$ . Therefore  $\Pr_g G$  is tame in  $\Omega_g$ , which was to be proved.

3. Powers of f, which have prime period. Let M, f, and n be as in Theorem 1.1. As in the discussion preceding Theorem 1.1, let  $n = p_1 p_2 \cdots p_r$ , where the  $p_i$ 's are primes and  $p_i \le p_{i+1}$  for each i < r; for each i, let  $n_i = n/p_i$  and let  $F_i$  be the fixed-point set of  $f^i$ . Then  $f^{n_i}$  has prime period  $p_i$  and we know by hypothesis that each set  $F_n$  is tame.

The following is the case n = 3 of a theorem of P. A. Smith  $[S_1]$ ; see also A. Borel  $[B_4$ , Theorem 1, p. 76].

THEOREM 3.1 (P. A. SMITH). Let N be a compact 3-manifold and let  $g: N \leftrightarrow N$  be a homeomorphism of prime period p. Then the fixed-point set G of g is a manifold.

This was stated for homological (or cohomological) manifolds in  $[S_1]$  and  $[B_4]$ , but such spaces are locally Euclidean if their dimensions are 1 or 2. Here discrete sets and the empty set are being regarded as manifolds, of dimension 0 and -1 respectively.

THEOREM 3.2 (G. BREDON). In Theorem 3.1, if p is odd and  $G \neq \emptyset$ , then G is a 1-manifold.

This is a corollary of Theorem 2.3, on p. 77 of  $[B_4]$ . Thus  $F_n$  is always a manifold, and is a 1-manifold if  $p_i$  is odd.

THEOREM 3.3 (G. BREDON). Let h be an involution of a compact connected 3-manifold onto itself, and let H be a component of the fixed-point set of h. Then H is of dimension 0 or 2 if and only if h reverses local orientation at some point of H.

(This is a very special case of what Bredon proved. See [B<sub>3</sub>, Theorem 2.5, p. 79].)

THEOREM 3.4. Theorem 1.1 holds when n is an odd prime.

PROOF. Let n be an odd prime, and let Pr be the projection of M onto the orbit space  $\Omega(f)$ . If the fixed-point set  $F_1$  is  $=\emptyset$ , then Pr is a covering, and Theorem 1.1 follows. If  $F_1 \neq \emptyset$ , then it follows by Theorem 3.2 that  $F_1$  is a 1-manifold. Since every point of  $M - F_1$  has period exactly n, it follows that  $Pr|(M - F_1)$  is an n-fold covering, and that  $\Omega(f)$  is locally Euclidean except perhaps at points of  $Pr(F_1)$ . By Theorem 2.1,  $\Omega(f)$  is locally Euclidean at each point of  $Pr(F_1)$ , and  $Pr(F_1)$  is tame in  $\Omega(f)$ . Let K be a triangulation of  $\Omega(f)$  in which  $Pr(F_1)$  forms a subcomplex, such that every simplex of K intersects  $Pr(F_1)$  in a simplex. Now K can be lifted so as to give a triangulation K' of M such that f is simplicial relative to K'.

Hereafter, it is to be understood that M, f, and  $F_i$  are as in Theorem 1.1.

THEOREM 3.5. For each  $i, f(F_i) = F_i$ .

Trivially:  $P \in F_i \Leftrightarrow f^i(P) = P \Leftrightarrow f(f^i(P)) = f(P) \Leftrightarrow f^i(f(P)) = f(P) \Leftrightarrow f(P) \in F_i$ .

THEOREM 3.6. Let  $J_i$  and  $J_j$  be 1-spheres which are components of  $F_{n_i}$  and  $F_{n_j}$  respectively. If  $J_i \cap J_i \neq \emptyset$ , then  $J_i = J_i$ .

PROOF. Suppose that  $J_i \neq J_j$ . Then there is an arc A in  $J_i$  such that  $A \cap J_j$  is an end point P of A. Now  $f^{n_j}(A)$  is an arc  $A' \subset F_{n_i}$ , with P as an end point. Since  $f^{n_j}$  is periodic and A - P contains no point of  $F_{n_j}$ , it follows that  $A' \cap A = P$ . It then follows that  $f^{n_j}(A') = A$  and  $p_j = 2$ . By logical symmetry,  $p_i = 2$ . Therefore  $n_i = n_j$  and  $F_{n_i} = F_{n_i}$ , which contradicts the assumption  $J_i \cap J_j \neq \emptyset$ ,  $J_i \neq J_j$ .

THEOREM 3.7. Let J be a 1-sphere which is a component of  $F_{n_i}$ , and let T be a 2-manifold which is a component of  $F_{n_j}$ . If  $P \in J \cap T$ , then (1) J pierces T at P and (2)  $J \cup T$  is tame.

Evidently T separates every sufficiently small connected open neighborhood U of P. (1) means that if U is sufficiently small, then U-T is the union of two disjoint open sets each of which intersects the component of  $U \cap J$  that contains P.

LEMMA 1.  $J \cap T$  contains no arc.

PROOF OF LEMMA. For convenience, we let  $g = f^{n_i}$ . Suppose that  $J \cap T$  contains an arc A, and let  $P_0 \in \text{Int } A$ . Let  $D_0$  be a 2-cell neighborhood of  $P_0$  in T such that  $A \subset D_0$  and A decomposes  $D_0$  into two 2-cells. We know that  $g(T) = f^{n_i}(T) \subset F_{n_i}$ , and since g(P) = P it follows that g(T) = T. Let  $D_1$  be another 2-cell neighborhood of  $P_0$ , intersecting A in an arc A' = xy, such that A' decomposes  $D_1$  into two 2-cells, and sufficiently small so that  $\bigcup_{r} g'(D_1) \subset \text{Int } D_0$ .

Let  $\tilde{V} = T - F_n$ . Then  $f^n | \tilde{V} = g | \tilde{V}$  has period exactly  $p_i$  at each point of  $\tilde{V}$ , and therefore is a covering. Let Pr be the projection of  $\tilde{V}$  onto the orbit space V of  $g | \tilde{V}$ . Then V is a 2-manifold, and has a triangulation K(V). Let  $K(\tilde{V})$  be the lifting of K(V) to  $\tilde{V}$ , so that  $g | \tilde{V}$  is simplicial relative to  $K(\tilde{V})$ . Let L be the union of all simplices of  $K(\tilde{V})$  that intersect  $\bigcup_{r} g'(D_1)$ . Thus  $L \cap A = \emptyset$ . Since  $\bigcup_{r} g'(D_1)$  is g-invariant, so also is L. Let N be the regular neighborhood of L in  $K(\tilde{V})$ , that is, the star of L in the second barycentric subdivision of  $K(\tilde{V})$ . Then g(N) = N. We suppose that K(V) was chosen at the outset in such a way that  $N \subset \text{Int } D_0$ , and so that the diameters of the simplices of  $K(\tilde{V})$  approach 0 as the simplices approach  $T \cap F_n$ . (These are conditions of "sufficient fineness".) From the latter condition it follows that  $N \subset N$ .

Since  $D_1 - A$  has two components, lying on opposite sides of A in  $D_0$ , it follows that N has two components,  $N_1$ ,  $N_2$ , lying on opposite sides of A in  $D_0$ . Each component of Bd N is a 1-sphere or is homeomorphic to a line. The 1-spheres can all be eliminated from Bd N; we merely add to N the 2-cells in  $D_0$  which they bound. The resulting set is a g-invariant 2-manifold with boundary, lying in Int  $D_0$ , and  $\overline{N} \cap A = A'$ , as before. If B is a component of Bd N, then  $\overline{B}$  has one of the forms  $B \cup x$ ,  $B \cup y$ ,  $B \cup x \cup y$ . If  $\overline{B}$  is  $B \cup x$  or  $B \cup y$ , then  $\overline{B}$  bounds a 2-cell  $D_B \subset D_0$ , and Int  $D_B \cap N = \emptyset$ , because  $N_1$  and  $N_2$  are connected. We add such sets  $D_B$  to N. In the final N, every boundary component B is homeomorphic to a line and  $\overline{B}$  is an arc between x and y. Since  $N_1$  and  $N_2$  are connected, each of them

contains only one such B. Thus  $\overline{N} = N \cup A'$  is a 2-cell and  $g|\overline{N} = f^n|\overline{N}$  is a homeomorphism of period  $p_i$ ,  $\overline{N} \leftrightarrow \overline{N}$ . Since  $g|\overline{N}$  has an arc of fixed points, it must reverse orientation [K, p. 224]. Therefore  $g|\overline{N}$  is of period 2 [K, p. 226].

But this is impossible. We have  $p_i = 2$ , and by Theorem 3.2,  $p_j = 2$ . Therefore  $F_{n_i} = F_{n_j}$ , which contradicts the hypothesis of the theorem. The lemma follows.

LEMMA 2. J-T has at most two components and  $J\cap T$  contains at most two points.

PROOF OF LEMMA. Let A be the closure of a component of J-T. If A=J, we are done. If not, A is an arc with its end points P, Q in T. Let  $A'=f^n(A)$ . As in the preceding proof,  $A \cap A' = \{P, Q\}$ , so that  $A \cup A' = J$ , and the lemma follows.

Since  $f^{n_j}$  is an involution, and T is tame, it follows that  $f^{n_j}$  is simplicial relative to some triangulation of a closed neighborhood of T. Thus, in the neighborhood of P,  $f^{n_j}$  looks like a reflection of 3-space across a plane. Since  $f^{n_j}(J) = J$ , (1) now follows. Similarly, J pierces T at the possible other points of  $J \cap T$ .

By hypothesis for  $F_n$ ,  $F_n$ , the sets J and T are tame. It was shown in  $[\mathbf{M}_7]$  that if a tame arc e pierces a tame disk D at an interior point of D, then  $D \cup e$  is tame. Thus P has a tame neighborhood in  $J \cup T$ . Similarly for any possible  $Q \in J \cap T$ . Therefore  $J \cup T$  is locally tame. By the local tame imbedding theorem, (2) follows. For later use, we note a stronger form of Theorem 1 of  $[\mathbf{M}_7]$ .

THEOREM 3.8. In a triangulated 3-manifold N, let D be a disk and let e be an arc, such that (1)  $e \cap D$  is an interior point of D, (2) e pierces D at P, (3) e is tame, and (4) D - P is locally tame. Then  $D \cup e$  is tame.

PROOF. We may assume that e is a linear interval, since e can be mapped onto an interval by a homeomorphism  $N \leftrightarrow N$ . Since D - P is locally tame, it is tame. Let V be an open set containing D - P, such that  $V \cap e = \emptyset$ . By Theorem 3.4 of [M], there is a homeomorphism  $h: N \leftrightarrow N$  such that h(D - P) is a polyhedron and h|(N - V) is the identity. Theorem 2 of  $[M_7]$  asserts that under these conditions  $h(D \cup e) = h(D) \cup e$  is tame. Therefore so also is  $D \cup e$ .

THEOREM 3.9. Let  $T_i$  and  $T_j$  be 2-manifolds which are components of  $F_{n_i}$  and  $F_{n_j}$  respectively. If  $T_i \cap T_j \neq \emptyset$ , then  $T_i = T_j$ .

PROOF. Here  $f^{n_i}$  and  $f^{n_j}$  must both be of period 2. Therefore  $p_i = p_j$ ,  $n_i = n_j$ , and  $F_{n_i} = F_{n_i}$ .

Since we know that each set  $F_{n_i}$  is tame, and every locally tame set is tame, Theorem 3.8 gives the following.

THEOREM 3.10. The set  $\bigcup_i F_{n_i}$  is tame. In the space  $\bigcup_i F_{n_i}$ , every point which is not isolated has an open neighborhood of one of the following types: (1) the interior of a disk, (2) the interior of an arc or (3) Int  $D \cup \text{Int } e$ , where e is an arc piercing D at an interior point P. In (3), D and e lie in different sets  $F_n$ ,  $F_n$ .

A set which satisfies the conditions of the conclusion of Theorem 3.10 will be called a mixed manifold with piercing singularities (MMPS). The word mixed refers

to the fact that such a set may be the union of a collection of manifolds of different dimensions ranging from 0 to 2.

The following must be known, but I know of no reference.

THEOREM 3.11. Let T be a connected 2-manifold, let g be a homeomorphism  $T \leftrightarrow T$  of prime period p, and let P be an isolated fixed point of g. Let  $\Omega = \Omega(g)$  be the orbit space of g and let  $Pr: T \to \Omega$  be the projection. Then there is a 2-cell neighborhood D, of P in N, such that (1) g(D) = D and (2) Pr D is a 2-cell neighborhood of Pr P in  $\Omega$ .

PROOF. Let W be an open neighborhood of P in T and let  $V = \bigcup_i g^i(W)$ , so that g(V) = V. Then  $\Pr[(V - P)]$  is a p-sheeted covering, so that  $\Pr[(V - P)]$  is a 2-manifold. Also  $\Pr[V]$  is open in  $\Omega$ . We choose W sufficiently small so that V lies in a Euclidean neighborhood of P in T. It has been shown by G. T. Whyburn that the image of a 2-manifold under a light interior mapping is a 2-manifold, possibly with boundary. (See G. T. Whyburn [W, Theorem 4.4, p. 197]. I am indebted to the referee for the reference.) Since  $\operatorname{Bd} \Pr[V]$  has no isolated points, and  $\operatorname{Bd} \Pr[V] = \emptyset$ , it follows that  $\operatorname{Bd} \Pr[V] = \emptyset$ . Let D' be a 2-cell which is a neighborhood of  $\operatorname{Pr}[P]$  in  $\Omega$  and let  $D = \operatorname{Pr}^{-1}D'$ .

**4.** The partial orbit space sequence  $\Omega_1, \Omega_2, \ldots, \Omega_{r-q}$ . We recall that  $n = p_1 p_2 \cdots p_r$ , where  $p_{i+1} \ge p_i$ ;  $n_i = n/p_i$ ; and  $F_{n_i}$  is the fixed-point set of  $f^{n_i}$ :  $M \leftrightarrow M$ . For  $1 \le i \le r$ , let

$$m_i = n/p_{r-i+1}p_{r-i+2}\cdots p_r,$$

so that  $m_1 = n/p_r$ ,  $m_r = 1$ , and  $m_i = p_1 p_2 \cdots p_{r-i}$  for  $1 \le i < r$ . Let  $\Pr_i: M \to \Omega_i$  be the projection of M onto the orbit space of  $f^{m_i}$ . For each finite set A, let |A| be the number of elements in A. As usual, for integers a, b, a|b means that a divides b. Now the points of  $\Omega_i$  are sets of the type

$$\operatorname{Pr}_{i} P = \{f^{jm_{i}}(P) | P \in M, j \in \mathbf{Z}\}.$$

Since  $f^{m_i}$  has period  $n/m_i = p_{r-i+1}p_{r-i+2} \cdots p_r$ , it follows that for each i and each i,  $|Pr_i| |(n/m_i)$ ; the reason is that  $|Pr_i| |P|$  is the period of  $f^{m_i}$  at i. It is clear that

$$f(\Pr_i P) = f(\{f^{jm_i}(P)\}) = \{f^{jm_i}(f(P))\} = \Pr_i f(P).$$

Thus f induces a homeomorphism  $f_* \colon \Omega_i \leftrightarrow \Omega_i$ . Consider now  $f_*^{m_{i+1}} \colon \Omega_i \leftrightarrow \Omega_i$ . This is a homeomorphism of period  $p_{r-i}$ . Let  $\Omega_{i+1}^*$  be the orbit space of  $f_*^{m_{i+1}}$  and let  $\Pr_{i+1}^*$  be the projection  $\Omega_i \to \Omega_{i+1}^*$ . Thus a point of  $\Omega_{i+1}^*$  is a collection of sets of the form

$$\Pr_{i+1}^* \Pr_i P = \Pr_{i+1}^* \left\{ f^{im_i}(P) \right\} = \left\{ f^{km_{i+1}} \left( \left\{ f^{im_i}(P) \right\} \right) \right\},$$

where j and k are any integers. Consider now the union W of the elements of the above collection. All the elements Q of W are points of the type  $f^s(P)$ : if  $m_{i+1}|s$ , then  $Q \in W$ ; and the converse also holds, because  $f^{km_{i+1}}(f^{jm_i}(P)) = f^{km_{i+1}+jm_i}(P)$  and  $m_{i+1}|m_i$ . Thus each W is simply a point of  $\Omega_{i+1}$ . We define

$$h_i \mathrm{Pr}_{i+1}^* \; \mathrm{Pr}_i \; P = \; W = \left\{ f^{jm_{i+1}}(P) \right\} \in \Omega_{i+1}.$$

Thus we have a homeomorphism  $h_i: \Omega_{i+1}^* \leftrightarrow \Omega_{i+1}$ , and for practical purposes  $\Omega_i^*$  and  $\Omega_i$  can be regarded as indistinguishable.

For each *i*, let  $G_i$  be the fixed-point set of  $f_*^{m_{i+1}}$ :  $\Omega_i \leftrightarrow \Omega_i$ . For convenience, we define  $\Omega_0$  to be M and we let  $\Pr_0$  be the identity  $\Omega_0 \leftrightarrow \Omega_0$ ,  $P \mapsto P$ . Thus  $G_0$  is the fixed-point set of  $f^{m_{0+1}} = f^{m_1}$ :  $\Omega_0 \leftrightarrow \Omega_0$ .

THEOREM 4.1. For each  $i, G_i \subset \Pr_i F_{n_i}$ .

PROOF. Let  $\Pr_{i} P = \{f^{jm_{i}}(P)\}$  and let  $k = |\Pr_{i} P|$ . Then  $k|n/m_{i}$ . If  $\Pr_{i} P \in G_{i}$ , then  $|\Pr_{i+1} P| = k$ . Now  $f_{*}$  is a homeomorphism  $\Omega_{i+1} \leftrightarrow \Omega_{i+1}$ , of period  $m_{i+1} = p_{1}p_{2} \cdots p_{r-i-1}$ . Therefore  $|\{f_{*}^{j}(\Pr_{i+1} P)\}| |m_{i+1}$ . But  $|\Pr_{r}(P)| |km_{i+1}$ , and  $km_{i+1}|(n/m_{i})m_{i+1} = n/p_{r-i} = n_{r-i}$ . Therefore  $|\Pr_{r} P| |n_{r-i}, f^{n_{r-i}}(P) = P$ ,  $P \in F_{n_{r-i}}$ , and  $\Pr_{i} P \in \Pr_{i} F_{n_{r-i}}$ . Thus  $G_{i} \subset \Pr_{i} F_{n_{r-i}}$ , which was to be proved.

THEOREM 4.2. For each i, s, and t,

$$(\operatorname{Pr}_i F_n) \cap (\operatorname{Pr}_i F_n) = \operatorname{Pr}_i (F_n \cap F_n).$$

PROOF. This holds for i=0. We shall show that if i has the stated property, then so also does i+1. Since the sets  $F_{n_k}$  are f-invariant, so also is each set  $\Pr_i F_{n_k}$  and so also is  $\bigcup_k \Pr_i F_{n_k}$ . Now consider  $f_*^{m_{i+1}}$ :  $\Omega_i \leftrightarrow \Omega_i$ , with fixed-point set  $G_i \subset \Pr_i F_{n_{i-1}}$ . Evidently  $\Pr_{i+1}^* | (\Omega_i - G_i)$  is a covering, and thus is a local homeomorphism. Since  $\bigcup_k \Pr_i F_{n_k}$  is f-invariant, and so also is  $G_i$ , it follows that if the theorem fails, it fails at some point of  $\Pr_{i+1} G_i$ . But if  $P \in (\Pr_{i+1} F_{n_i}) \cap (\Pr_{i+1} F_{n_i}) \cap \Pr_i G_i$ , then  $P = \Pr_i Q$  for some  $Q \in (\Pr_i F_{n_i}) \cap (\Pr_i F_{n_i})$ . By the induction hypothesis, the theorem follows.

So far, we have not used or needed the hypothesis that  $p_i < p_{i+1}$  for i < r, but we shall need it from now on. Let q be the largest integer such that  $2^q | n$ . Thus  $n = 2^q p_{q+1} p_{q+2} \cdot \cdots \cdot p_r$ .

THEOREM 4.3. If  $0 \le i \le r - q$ , then:

- (1)  $\Omega_i$  is a 3-manifold.
- (2) For each s,  $Pr_i F_n$  is a tame manifold in  $\Omega_i$ .
- (3) Each component C of  $Pr_i$   $F_{n_i}$  is of the form  $Pr_i$  C', where C' is a component of  $F_{-}$ .
- (4) If  $C_s$  and  $C_t$  are components of  $\Pr_i F_{n_i}$  and  $\Pr_i F_{n_i}$  respectively, then  $C'_s$  and  $C'_t$  can be chosen so that  $C_s \cap C_t = \Pr_i(C'_s \cap C'_t)$ .
  - (5)  $\bigcup_{s} \Pr_{i} F_{n}$  is tame in  $\Omega_{i}$ .
- (6) If J is a 1-dimensional component of  $\Pr_i F_n$ , and T is a 2-dimensional component of  $\Pr_i F_n$ , and  $P \in J \cap T$ , then J pierces T at P.

PROOF. For i = 0, all this is known. Inductively, we shall show that if i satisfies (1)-(6) and i < r - q, then i + 1 satisfies (1)-(6). The length of this theorem may seem awkward, but all six of the conclusions will be needed as induction hypotheses.

Suppose that  $\Omega_i$  and  $\Pr_i$  satisfy (1)-(6), with i < r - q. Now  $f_*^{m_{i+1}}$  is a homeomorphism  $\Omega_i \leftrightarrow \Omega_i$ , of odd prime period  $p_{r-i}$ , with fixed-point set  $G_i$ . If  $G_i = \emptyset$ , then  $f^{m_{i+1}}$  is a covering. Since all the sets  $F_{n_i}$  are f-invariant, it is a routine matter to verify that (1)-(6) are preserved when we pass from i to i+1 (i+1 < r-q). Hereafter we assume that  $G_i \neq \emptyset$ .

Suppose, then, that  $G_i \neq \emptyset$ . By Theorem 3.2 it follows that  $G_i$  is a 1-manifold. Since  $f^{n_{r-i}}$  has odd prime period  $p_{r-i}$ , its fixed-point set  $F_{n_{r-i}}$  is a 1-manifold; and by our induction hypotheses,  $\Pr_i F_{n_{r-i}}$  is tame. By Theorem 4.1,  $G_i \subset \Pr_i F_{n_{r-i}}$ , and so  $G_i$  is the union of a (finite) collection of components of  $\Pr_i F_{n_{r-i}}$ . Therefore  $G_i$  is tame in  $\Omega_i$ . By Theorem 2.1,  $\Pr_{i+1}^* G_i$  is tame in  $\Omega_{i+1}^*$ . Since  $h_i \colon \Omega_{i+1}^* \leftrightarrow \Omega_{i+1}$  is a homeomorphism and  $h_i \Pr_{i+1}^* = \Pr_{i+1}$ , it follows that (1) is satisfied by i+1 and that  $\Pr_{i+1} \Pr_i^{-1} G_i$  is tame in  $\Omega_{i+1}$ .

Consider the set  $\bigcup_s \operatorname{Pr}_i F_{n_i}$ . Since each set  $F_{n_i}$  is f-invariant, it follows that each set  $\operatorname{Pr}_i F_{n_i}$  is  $f_*^{m_{i+1}}$ -invariant. Let  $J_s$  and  $J_t$  be 1-spheres which are components of  $\operatorname{Pr}_i F_{n_i}$  and  $\operatorname{Pr}_i F_{n_i}$  respectively. If  $J_s \cap J_t \neq \emptyset$ , then by (3) and (4) it follows that  $J_s = \operatorname{Pr}_i J_s'$ ,  $J_t = \operatorname{Pr}_i J_t'$ , where  $J_s'$  and  $J_t'$  are components of  $F_{n_i}$  and  $F_{n_i}$  and  $J_s' \cap J_t' \neq \emptyset$ . By Theorem 3.6 it follows that  $J_s' = J_t'$ . Therefore  $J_s = J_t$ . Thus the union of the 1-dimensional components of  $\bigcup_s \operatorname{Pr}_i F_{n_i}$  is a 1-manifold. Since these components J are disjoint and their union is  $f_*^{m_{i+1}}$ -invariant, it follows that  $f_*^{m_{i+1}}$  merely permutes them (leaving components of  $G_i$  fixed, of course). By the induction hypothesis, each of these components J is tame and therefore locally tame. If  $J \subset G_i$ , we already know that  $h_i \operatorname{Pr}_{i+1}^* J$  is tame in  $\Omega_{i+1}$ . If  $J \cap G_i = \emptyset$ , then it follows by much the same reasoning that  $h_i \operatorname{Pr}_{i+1}^* J = \operatorname{Pr}_{i+1} \operatorname{Pr}_i^{-1} J$  is locally tame and hence tame in  $\Omega_{i+1}$ . Thus we have a restricted form of (2): every 1-dimensional component of  $\operatorname{Pr}_{i+1} F_{n_i}$  is tame in  $\Omega_{i+1}$ . Note also that (3), (4) and (5) are satisfied by i+1 if only 1-dimensional components are considered.

To complete the proof of (2), it remains to consider the 2-dimensional components of  $\bigcup_s \Pr_{i+1} F_{n_i}$ . Let  $W_i$  (and  $W_{i+1}$ ) be the union of the 2-dimensional components of  $\bigcup_s \Pr_i F_{n_i}$  (and  $\bigcup_s \Pr_{i+1} F_{n_i}$ ). Each component T of  $W_i$  is a set of the type  $\Pr_i T'$ , where T' is a component of a set  $F_{n_i}$  (condition (3) of the theorem). Since  $F_{n_i}$  is f-invariant, it follows as before that the manifold  $\Pr_i F_{n_i}$  is  $f_*^{m_{i+1}}$ -invariant. Thus  $\bigcup_j f_*^{jm_{i+1}}(T)$  is a 2-manifold. If  $T \cap G_i = \emptyset$ , then it follows that  $f_*^{m_{i+1}}$  merely permutes the components of  $\bigcup_j f_*^{jm_{i+1}}(T)$ . It follows, as for the 1-spheres above, that  $h_i(\Pr_{i+1}^* T)$  is a component of  $\Pr_{i+1} F_{n_i}$ ; this set is a 2-manifold, and is locally tame in  $\Omega_{i+1}$  and therefore tame.

Thus the case of interest is the one in which T intersects  $G_i$  at one or more points P. Let J be the component of  $G_i$  that contains P. We already know that  $h_i(\Pr_{i+1}^*J)$  is tame in  $\Omega_{i+1}$ , and it is easy to check (as in earlier cases) that  $h_i(\Pr_{i+1}^*(T-G_i))$  is locally tame. We shall show that  $\Pr_{i+1}^*P$  has a neighborhood in  $\Pr_{i+1}^*(T\cup J)$  which is tame in  $\Omega_{i+1}^*$ .

By condition (6) for i, J pierces T at P. By Theorem 3.11, there is a 2-cell neighborhood D of P in T such that  $f_*^{m_{i+1}}(D) = D$  and such that  $\Pr_{i+1}^* D$  is a 2-cell neighborhood of  $\Pr_{i+1}^* P$  in  $\Pr_{i+1}^* T$ . Since  $T \cup J$  is tame (condition (5) for i), it follows that P has a 3-cell neighborhood  $C^3$  in  $\Omega_i$  such that D decomposes  $C^3$  into two 3-cells  $C_1^3$ ,  $C_2^3$ , which intersect J in arcs  $A_1$ ,  $A_2$ , with  $A_1 \cap A_2 = P$ . For k = 1, 2, let  $U_k = C_k^3 \cap \operatorname{Int} C_3$ . Then  $\bigcup_j f_*^{jm_{i+1}}(U_1 \cup U_2) = \bigcup_j f_*^{jm_{i+1}}(\operatorname{Int} C^3)$  and this set, call it V, is a connected neighborhood of P in  $\Omega_i$ . Obviously V is the union of the sets  $V_k = \bigcup_j f_*^{jm_{i+1}}(U_k)$  (k = 1, 2), and  $V_1 \cap V_2 = \operatorname{Int} D$ . Now  $\Pr_{i+1}^* V$  is a connected open set in  $\Omega_{i+1}^*$ , containing  $\Pr_{i+1}^* P$ , and  $\Pr_{i+1}^* V$  is decomposed by

 $\Pr_{i+1}^* D$  into two connected open sets, each of which intersects  $\Pr_{i+1}^* J$ . It follows that  $\Pr_{i+1}^* J$  pierces  $\Pr_{i+1}^* D$  at  $\Pr_{i+1}^* P$ . Since  $\Pr_{i+1}^* (D-P)$  is locally tame, it is tame. By Theorem 3.8,  $\Pr_{i+1}^* (D \cup A_1 \cup A_2)$  is tame.

We have considered various types of components of sets  $\Pr_i F_{n_i}$ ; their images under  $h_i(\Pr_{i+1}^*)$ , in each case, have been components of  $\Pr_{i+1}F_{n_i}$ . Thus (3) always holds for the integer i+1. Similarly for (4). (2) has been verified for all components of all sets  $\Pr_{i+1}F_{n_i}$ . We have shown that each set  $\Pr_{i+1}F_{n_i}$  is tame; and in the preceding paragraph we completed the proof that (5) and (6) hold for i+1. This completes the proof of the theorem.

Setting i = r - q in Theorem 4.3, we get the following.

THEOREM 4.4.  $\Omega_{r-q}$  has a triangulation  $K(\Omega_{r-q})$  such that (1) each set  $\Pr_{r-q} F_{n,q}$  forms a subcomplex of  $K(\Omega_{r-q})$  and (2) each simplex of  $K(\Omega_{r-q})$  intersects  $\bigcup_s \Pr_{r-q} F_{n,q}$  in a simplex.

(To get a complex satisfying (2), we may need to subdivide a complex satisfying (1).)

Note that for the case q=0, we have  $\Omega_{r-q}=\Omega_r$  and the proof of Theorem 1.4 is already complete. We lift  $K(\Omega_r)$  in the obvious way to get a triangulation K(M) relative to which f is simplicial.

Hereafter we suppose that q > 0; and we need to show that  $\Omega_r$  has a triangulation  $K(\Omega_r)$  as in Theorem 4.4. The proofs of Theorems 4.3 and 4.4 use the local tame imbedding theorem, which applies, as stated, only in 3-manifolds; and there are simple examples to show that  $\Omega_r$  need not be a manifold or even a manifold with boundary. Hence the digression in the following section.

5. Local properties of involutions. Throughout this section,  $M^3$  will be a compact connected 3-manifold, and  $h: M^3 \leftrightarrow M^3$  will be an involution. For  $i = 0, 1, 2, M^i$  will be either the empty set or a tame *i*-manifold in  $M^3$ , compact but not necessarily connected. (Here by a 0-manifold we mean a discrete set.) We assume that  $h(M^i) = M^i$  for each i and that  $M^0 \cup M^1 \cup M^2$  contains the fixed-point set  $F_h$  of h. We assume also that each component J of  $M^1$  pierces  $M^2$  at each point P of  $J \cap M^2$ . Pr, will be the projection of  $M^3$  onto the orbit space  $\Omega_h$  of h.

We shall investigate the action of h in small neighborhoods of fixed points. The results will be applied in the following section to the case in which (1)  $M^3 = \Omega_{r-i}$ , (2)  $M^0 \cup M^1 \cup M^2 = \Pr_{r-i} \cup_j F_{n_j}$  and (3)  $h = f_*^{m_{j+1}} : \Omega_{r-i} \leftrightarrow \Omega_{r-i}$ , where i > r - q. But in this section, the notation and apparatus of §4 would be a needless burden.

For each set S of points and each point  $v \notin S$ , Sv denotes the join of S and v with the usual topology. By  $\sigma^n$  we shall always mean an n-simplex. Thus  $\sigma^2 v$  is always a 3-cell, and if P is a point, then Pv is an arc. (We shall still use the notation PQ for any arc from P to Q.)

By a star-complex we mean a complex K which is the star of one of its own vertices. If K is a star-complex, then K will be called a star-triangulation of the corresponding polyhedron |K|. If  $K = \operatorname{St} P$ , then P will be called a central vertex of K.

THEOREM 5.1. Let  $\tilde{U} = M^3 - F_h$ . Then  $\tilde{U}$  has a triangulation  $K(\tilde{U})$  such that (1)  $h|\tilde{U}$  is simplicial relative to  $K(\tilde{U})$  and (2)  $(M^1 \cup M^2) \cap \tilde{U}$  is a polyhedron relative to  $K(\tilde{U})$ .

PROOF. Since  $M^1$  and  $M^2$  are tame and  $M^1$  pierces  $M^2$  at every intersection point, it follows by Theorem 3.8, together with the local tame imbedding theorem, that the set  $W = M^1 \cup M^2$  is tame. Let

$$\rho = \Pr_h | \tilde{U} \colon \tilde{U} \longrightarrow U = \Pr_h \tilde{U}.$$

Then  $\rho$  is a covering, and hence is a local homeomorphism, and U is a 3-manifold. Since  $h(W \cap \tilde{U}) = W \cap \tilde{U}$ , it follows that  $\rho|W \cap \tilde{U}$  is a local homeomorphism. Therefore  $\rho(W \cap \tilde{U})$  is locally tame, and hence tame, in U. Let K(U) be a triangulation of U and let  $\psi \colon U \leftrightarrow U$  be a homeomorphism such that  $\psi \rho(W \cap \tilde{U})$  is a polyhedron relative to K(U). Let

$$K'(U) = \psi^{-1}(K(U)) = \{\psi^{-1}(\sigma) | \sigma \in K(U)\}.$$

Then  $\rho(W \cap \tilde{U})$  is a polyhedron relative to K'(U). Let  $K(\tilde{U})$  be the lifting of K'(U) to  $\tilde{U}$ . Then  $K(\tilde{U})$  satisfies both (1) and (2).

THEOREM 5.2. In a triangulated 3-manifold  $M^3$ , let  $B_1, B_2, \ldots, B_r$ , A be annuli, each two of which intersect in a 1-sphere J which is a component of the boundary of each. If each  $B_i$  is a polyhedron and A - J is polyhedral, then  $A \cup \bigcup_i B_i$  is tame. In fact, for each open set V containing J there is a homeomorphism  $g: M^3 \leftrightarrow M^3$  such that g(A) is a polyhedron and g is the identity on each  $B_i$  and on  $M^3 - V$ .

PROOF. For the case in which  $M^3$  is 3-space and r = 1, this is Lemma 5.2, on p. 165 of [M8]. The proof was by construction of a homeomorphism which differed from the identity only in a small neighborhood of J. The same proof therefore works in an arbitrary  $M^3$ . In the proof, we show that we can "straighten out A", so as to get a polyhedron, without needing to move any point of another polyhedral annulus  $B_1$  as in Theorem 5.2. By the same procedure, we can leave all the annuli  $B_i$  pointwise fixed. See Lemma 5.1, p. 164 of [M<sub>8</sub>], which generalizes Lemma 5.2 in a similar way.

THEOREM 5.3. In a triangulated 3-manifold  $M^3$ , let  $A_1, A_2, \ldots, A_r$  be annuli, and let J be a 1-sphere which is a component of the boundary of each of them and is the intersection of every two of them. If J is tame and each set  $A_i - J$  is tame, then  $\bigcup_i A_i$  is tame.

PROOF. Let  $g_1$  be a homeomorphism  $M^3 \leftrightarrow M^3$  such that  $g_1(J)$  is a polyhedron. Let  $g_2$  be a homeomorphism  $M^3 \leftrightarrow M^3$  such that  $g_2|J$  is the identity and  $g_2g_1(A_1-J)$  is a polyhedron. Lemma 2.1 on pp. 159-160 of  $[\mathbf{M_8}]$  asserts that under these conditions there is a homeomorphism  $g_3\colon M^3 \leftrightarrow M^3$  such that  $g_3g_2g_1(A_1)$  is a polyhedron. (This lemma was stated only for 3-space, but the generalization is immediate, just as for Lemma 5.2.) Now let  $g_4$  be a homeomorphism  $M^3 \leftrightarrow M^3$  such that  $g_4g_3g_2g_1(A_2-J)$  is a polyhedron and  $g_4|g_3g_2g_1(A_1)$  is the identity. By Theorem 5.2 we can move  $g_4g_3g_2g_1(A_2)$  onto a polyhedron, leaving  $g_4g_3g_2g_1(A_1)$  pointwise fixed.

In a finite number of such steps, we move  $\bigcup_i A_i$  onto a polyhedron. Thus  $\bigcup_i A_i$  is tame.

We return to the discussion of the  $M^3$  and h described at the beginning of this section.  $F_h$  and the sets  $M^i$  are as before.

THEOREM 5.4. Let H be a component of  $M^2$ , lying in  $F_h$ , and let J be a component of  $M^1$ , piercing H at a point P. Then P has a 3-cell neighborhood C in  $M^3$  with the following properties.

- (1) h(C) = C.
- (2) Bd  $C \cup M^1 \cup M^2$  is tame in  $M^3$ .
- (3) M<sup>1</sup> pierces Bd C at exactly two points, and intersects Bd C only at these points.
- (4)  $C \cap M^2$  is a 2-cell D such that  $D \cap Bd C = Bd D$ .
- (5)  $\operatorname{Pr}_h C$  has a star-triangulation in which  $\operatorname{Pr}_h D$  and  $\operatorname{Pr}_h(M^1 \cap C)$  form subcomplexes and in which  $\operatorname{Pr}_h P$  is a central vertex.

PROOF. Since H is a 2-manifold in  $F_h$ , it follows that h reverses local orientation at P. Let D be a "small" h-invariant 2-cell neighborhood of P in  $M^2$ . Since  $M^2$  is tame, D is automatically tame. Let  $\phi$  be a homeomorphism  $Dv \leftrightarrow C_1 \subset M^3$ , so that  $C_1$  is a 3-cell. We choose  $\phi$  in such a way that (a)  $C_1$  is tame, (b)  $C_1 \cap M^2 = D \subset \mathbb{R}$  Bd  $C_1$ , (c)  $C_1 \cap M^1$  is an arc PQ such that  $M^1$  pierces Bd  $C_1$  at P and Q, and (d)  $PQ = \phi(Pv)$ . Under these conditions,  $C_1$  has a star-triangulation  $K_1$  in which PQ is an edge and D forms a subcomplex; in fact,  $K_1$  is the star of P in  $K_1$ . Let  $C = C_1 \cup h(C_1)$ . Then  $\Pr_h | C_1$  is a homeomorphism  $C_1 \leftrightarrow \Pr_h C$ , so that  $\Pr_h K_1 = \{\Pr_h \sigma | \sigma \in K_1\}$  is the star-triangulation desired in (5). It is clear by construction that (1), (3), and (4) hold. To verify (2), we note that since Bd  $C_1$ , Bd  $h(C_1)$ , and  $M^1 \cup M^2$  are all tame, it follows that Bd  $C \cup M^1 \cup M^2$  is locally tame except perhaps at points of D and at points of Bd D is D and D and D are all tame integral D and D are all tame integral D and D are D and D are all tame integral D and at points of Bd D is D and D are the local tame imbedding theorem.

THEOREM 5.5. Let  $P \in M^2 - M^1$ , and suppose that P is an isolated point of  $F_h$ . Then P has arbitrarily small 3-cell neighborhoods C in  $M^3$  with the following properties.

- (1) h(C) = C.
- (2)  $C \cup M^1 \cup M^2$  is tame in  $M^3$ .
- (3)  $C \cap M^2$  is a 2-cell D such that  $D \cap Bd C = Bd D$ .
- (4)  $Pr_h C$  has a star-triangulation in which  $Pr_h P$  is a central vertex and  $Pr_h D$  forms a subcomplex.

PROOF. Let E be a "small" 2-cell neighborhood of P in  $M^2$ . Let  $\tilde{U} = M^3 - F_h$  and let  $K(\tilde{U})$  be as in Theorem 5.1. Let N be a connected closed neighborhood of P in  $M^2$ , such that the frontier of N in the space  $M^2$  is a polyhedron relative to  $K(\tilde{U})$ , and sufficiently small so that

$$N' = N \cup h(N) = \Pr_h^{-1} \Pr_h N \subset Int E.$$

Now h(N') = N', and the frontier of N' in  $M^2$  is polyhedral relative to  $K(\tilde{U})$ . (Recall from Theorem 5.1 that  $h|\tilde{U}$  is simplicial relative to  $K(\tilde{U})$ .) Therefore this

frontier forms a subcomplex of a subdivision  $K'(\tilde{U})$  of  $K(\tilde{U})$  relative to which  $h|\tilde{U}$  is simplicial. If N'' is a regular neighborhood of N'-P in an *n*th barycentric subdivision b''K'(U), then we have h(N'')=N''; and if N'' is a sufficiently small neighborhood of N'-P, then  $N''\subset I$  Int E. We choose an N'' satisfying both these conditions. Now let D be the union of N'',  $\{P\}$ , and all components of E-N'' except the one that contains Bd E. Then D is a 2-cell, h(D)=D, and D-P is polyhedral relative to  $K(\tilde{U})$ .

Now h reverses local orientation of  $M^3$  at P; since  $D \cap F_h = P$ , h|D reverses orientation in D. Let  $C_1$  be a 3-cell in  $M^3$  such that

$$C_1 \cap M^2 = \operatorname{Bd} C_1 \cap M^2 = D$$

and such that Bd  $C_1 - P$  is polyhedral relative to  $K(\tilde{U})$ . Let  $C = C_1 \cup h(C_1)$ . If  $C_1$  lies in a sufficiently small neighborhood (and  $D \cap M^1 = \emptyset$ ), then C will be a 3-cell, with  $C \cap M^1 = \emptyset$ . Since h is simplicial relative to  $K(\tilde{U})$ , Bd  $h(C_1) - P$  is a polyhedron. Therefore so also is Bd C.

Now h|D is conjugate to an antipodal mapping  $\mathbf{B}^2 \leftrightarrow \mathbf{B}^2$ , where  $\mathbf{B}^2$  is the unit disk in  $\mathbf{R}^2$ . Therefore there is a triangulation K(D) of D in which D forms the (closed) star of P, such that h|D is simplicial relative to K(D). Let  $K(C_1)$  be a triangulation of  $C_1$  which forms the join of K(D) with a point  $v \in \mathrm{Bd}\ C_1 - D$ ; and let  $K(C) = K(C_1) \cup h(K(C_1))$ . (Here by  $h(K(C_1))$ ) we mean  $\{h(\sigma)|\sigma \in K(C_1)\}$ .) Let  $K(\mathrm{Pr}_h\ C) = \{\mathrm{Pr}_h\ \sigma|\sigma \in K(C)\}$ . Then C and  $K(\mathrm{Pr}_h\ C)$  are as desired in Theorem 5.2. (The verification of (2) is based on Theorem 5.3, as in the proof of Theorem 5.4.)

THEOREM 5.6. Let  $P \in M^1 \cap M^2$ , and suppose that P is an isolated point of  $F_h$ . Then P has arbitrarily small 3-cell neighborhoods C, satisfying conditions (1)–(4) of Theorem 5.5, such that also

- (5)  $M^1 \cap C$  forms a subcomplex of the star-triangulation mentioned in (4) and
- (6)  $M^1$  pierces Bd C at exactly two points and intersects Bd C only at these points.

The proof is the same as that of Theorem 5.5, except that in defining  $C_1$  we need to take note of  $M^1$  and take the obvious precautions, as in the proof of Theorem 5.4.

THEOREM 5.7. Let  $P \in M^1 - M^2$ , and suppose that P is an isolated point of  $F_h$ . Then P has arbitrarily small 3-cell neighborhoods C satisfying the following conditions.

- (1) h(C) = C.
- (2)  $C \cup M^1 \cup M^2$  is tame in  $M^3$ .
- (3) M<sup>1</sup> pierces Bd C at exactly two points, and intersects Bd C only at these points.
- (4)  $\Pr_h C$  has a star-triangulation in which  $\Pr_h(C \cap M^1)$  is an edge and  $\Pr_h P$  is a central vertex.

PROOF. First we consider a related situation in Cartesian 3-space  $\mathbb{R}^3$ . Let V be an open set in  $\mathbb{R}^3$ , with frontier Fr V. Let I be an open linear interval in V, with its end points in Fr V, let  $Q \in I$ , and let g be an involution  $V \leftrightarrow V$  with Q as its only fixed point, such that g(I) = I. Let  $\Pr_g$  be the projection of V onto the orbit space

 $\Omega_g$ , let  $\tilde{W} = V - I$ , and let  $W = \Pr_g \tilde{W}$ . Let K(W) be a triangulation of W such that the diameters of the simplices of K(W) approach 0 as the simplices approach  $\Pr_{\sigma} I$ , and let  $K(\tilde{W})$  be the lifting of K(W) to  $\tilde{W}$ .

Lemma 5.7.1. Under the above conditions, Q has arbitrarily small 3-cell neighborhoods  $C_1$  such that

- $(1) g(C_1) = C_1.$
- (2) I pierces Bd  $C_1$  at exactly two points and intersects Bd  $C_1$  only at these points.
- (3) Bd  $C_1 I$  is polyhedral relative to  $K(\tilde{W})$ .
- (4) Bd  $C_1 \cup I$  is tame in V.

PROOF OF LEMMA. By Theorem 3.8, (4) is a consequence of (2) and (3). We shall show that there are arbitrarily small 3-cells satisfying (1), (2), and (3).

Let  $R \in I - Q$  and let R' = g(R). Then every neighborhood of R contains a 2-cell  $\tilde{D}$  such that (a) I pierces  $\tilde{D}$  at R and (b)  $\tilde{D} - R$  is a polyhedron relative to  $K(\tilde{W})$ . We choose  $\tilde{D}$  in a sufficiently small neighborhood of R so that  $\Pr_{g}|\tilde{D}$  is a homeomorphism and  $g(\tilde{D}) \cap \tilde{D} = \emptyset$ . Let  $D = \Pr_{g} \tilde{D}$ . Thus  $\Pr_{g}^{-1} D = \tilde{D} \cup \tilde{D}'$ , where  $\tilde{D}'$  is a 2-cell which is pierced by I at R'.

Let RR' be the closed interval between R and R' in I, and let  $N_1$  be a connected closed neighborhood of  $\Pr_g RR'$  in  $\Omega_g$ , such that (c)  $\Pr N_1 - \Pr_g I$  is polyhedral relative to K(W). We may also suppose that (d)  $\Pr N_1 - \Pr_g I$  is a 2-manifold. (If not, add to  $N_1$  a regular neighborhood of  $\Pr N_1 - \Pr_g I$  in an appropriate subdivision of K(W).) We suppose that (e)  $N_1 \cap D \subset \operatorname{Int} D$  and (f)  $\operatorname{Fr} N_1 - \operatorname{Pr}_g I$  is in general position relative to D, in the usual sense; that is, the intersection of these two sets is the union of a finite collection of disjoint polygons, at each of which the two surfaces pierce one another. Finally, by a finite number of operations, each of which adds a set to  $N_1$ , or subtracts a set from  $N_1$ , we arrange so that (g)  $N_1 \cap D$  is a 2-cell  $d \subset \operatorname{Int} D$  such that  $d \cap \operatorname{Fr} N_1 = \operatorname{Bd} d$ .

Consider  $\tilde{N}_1 = \Pr_g^{-1} N_1 \subset V$ . If  $N_1$  lies in a sufficiently small neighborhood of  $\Pr_g RR'$ , then  $\tilde{D} \cup \tilde{D}'$  will separate  $\tilde{N}_1$  into exactly three connected sets. (The details here are straightforward.) Let  $C_1$  be the closure of the component of  $\tilde{N}_1 - (\tilde{D} \cup \tilde{D}')$  that contains Q. Now Fr  $C_1$  is a tame 2-manifold,  $C_1$  is a 3-manifold with boundary, with Bd  $C_1 = \Pr C_1$ ; and Bd  $C_1$  is the union of a 2-cell  $\tilde{D}_1 \subset \operatorname{Int} \tilde{D}_1$ , a 2-cell  $\tilde{D}_1' \subset \operatorname{Int} \tilde{D}_1'$  and a 2-manifold A with boundary which is a finite polyhedron relative to  $K(\tilde{W})$ . Here  $g(\tilde{D}_1) = \tilde{D}_1'$ , and  $(\tilde{D}_1 \cup \tilde{D}_1') \cap A = \operatorname{Bd} \tilde{D}_1 \cup \operatorname{Bd} \tilde{D}_1' = \operatorname{Bd} A$ , so that g(A) = A. Let  $C_0$  be a geometrically round 3-cell neighborhood of Q in  $\mathbb{R}^3$ , lying in V, and thus intersecting I in exactly two points, and let  $X = \operatorname{Int} C_0 - I$ . Thus the fundamental group  $\pi(X)$  is infinite cyclic. Evidently we can choose  $\tilde{N}_1 \subset \operatorname{Int} C_0$ . Thus we may suppose that (h)  $\operatorname{Pr}_g(\operatorname{Bd} C_1 - \operatorname{Int} C_0)$  lies in the union of a finite collection of polyhedral 2-cells, disjoint from one another and from  $\operatorname{Pr}_g \tilde{D}_1' = \operatorname{Pr}_g \tilde{D}_1'$ ).

At the outset, this holds because  $C_1 \subset \operatorname{Int} C_0$ . But we need to state (h) in such a way that it would be preserved by certain hypothetical operations to be defined presently. Now every compact connected 2-manifold B has Euler characteristic  $\chi B \leq 2$ . Therefore, finally, we may suppose that: (i) Subject to all the above

conditions,  $C_1$  is chosen so as to maximize  $\chi$  Bd  $Pr_{g}$   $C_1$ .

We shall show that under these conditions  $C_1$  is a 3-cell. For this, it will be sufficient to show that A is an annulus. It will then follow that Bd  $C_1$  is a 2-sphere and since Bd  $C_1$  is tame,  $C_1$  is a 3-cell.

Let  $P_0 \in \operatorname{Bd} \tilde{D}_1 \subset \operatorname{Bd} A$ , and let p be a closed path, with base point  $P_0$ , which traverses  $\operatorname{Bd} \tilde{D}_1$  exactly once. Since I pierces  $\tilde{D}_1$  at R, it follows that p generates  $\pi(\operatorname{Int} C_0 - I, P_0)$ . (More precisely, the equivalence class that contains p generates the group.) If p generates  $\pi(\operatorname{Bd} C_1 - I, P_0)$  (in the same sense), then it follows that  $\pi(A)$  is infinite cyclic and A is an annulus. If not, then there is a closed path q in  $\operatorname{Bd} C_1 - I$ , with base point  $P_0$ , such that q is not equivalent, in  $\pi(\operatorname{Bd} C_1 - I)$ , to any power  $p^n$  of p. By (h), we may choose q as a closed path in  $(\operatorname{Bd} C_1 - I) \cap \operatorname{Int} C_0$ . Take n so that  $q \simeq p^n$  in  $\pi(\operatorname{Int} C_0 - I)$  and now regard  $qp^{-n}$  simply as a loop L (ignoring the distinguished base point). Then L is contractible in  $\tilde{W} = V - I$  but not in  $\operatorname{Bd} C_1 - I$ .

Consider now the 2-manifold  $B = \Pr_g(\operatorname{Bd} C_1 - I)$  in the 3-manifold  $W = \Pr_g \widetilde{W}$ . (We recall that  $\widetilde{W} = V - I$ .) The mapping  $\Pr_g|(\operatorname{Bd} C_1 - I)$  is a covering, and thus induces an injective homomorphism of the fundamental group. Thus the loop  $\Pr_g L$  is contractible in W but not in B. When W is regarded as space, B forms a closed set. Evidently B is 2-sided in W, because  $\Pr_g(C_1 - I)$  is a 3-manifold with boundary, lying in the 3-manifold W; B is its boundary and B is a polyhedron relative to K(W). (See [MGT, Theorem 26.1, p. 191].) Thus the pair W, B satisfies the conditions of one form of the Loop Theorem of Papakyriakopoulos. (See [M, Theorem 3.5].) From this form of the Loop Theorem it follows that there is a polyhedral 2-cell  $\Delta$  in W, with  $\Delta \cap B = \operatorname{Bd} \Delta$ , such that  $\operatorname{Bd} \Delta$  is not contractible in B. Evidently we can move  $\operatorname{Bd} \Delta$  off of all the 2-cells mentioned in condition (h), preserving the stated properties of  $\Delta$ .

Under these conditions for B and  $\Delta$ , Bd  $\Delta$  has an annular neighborhood in B [MGT, Theorem 28.19, p. 209]. When we "split B apart at Bd  $\Delta$ ",  $\chi B$  is unchanged; after the splitting,  $\chi$  Bd  $\Delta$  gets counted twice, but  $\chi$  Bd  $\Delta = 0$ . Thus, if we split  $B \cup \Delta$  apart at  $\Delta$ , getting a new 2-manifold B', we have  $\chi B' = \chi B + 2$ .

We shall show that this is impossible. We recall that  $R = \tilde{D}_1 \cap I$ ,  $R' = g(R) = \tilde{D}_1' \cap I$ . Thus  $B \cup \Pr_g R = \Pr_g \operatorname{Bd} C_1$ , and so  $B \cup \Pr_g R$  separates each small neighborhood of  $\Pr_g Q$  from each point of  $\Pr_g (I - C_1)$  in the space  $\Omega_g$ . From this it follows that  $B' \cup \Pr_g R$  has the same separation property. This is fairly easy to see geometrically. For a detailed treatment, see [MGT, Theorem 30.3, p. 215]. (This theorem is stated for 3-manifolds. Therefore we delete  $\Pr_g Q$  from  $\Omega_g$ , apply the theorem, and then reinstate  $\Pr_g Q$ .) Let N be the closure of the component of  $\Omega_g - (B' \cup \Pr_g R)$  that contains  $\Pr_g Q$  and let  $C_1' = \Pr_g^{-1} N$ . Then  $C_1'$  satisfies all the conditions that were stated for  $C_1$ . (In verifying (h), note that in passing from B to B', we have, at most, added two more 2-cells to the collection that we already had.) All this is impossible because  $C_1$  was supposed to be chosen so as to maximize  $\chi B$ .

It remains to show that given a neighborhood N(Q) of Q,  $C_1$  can be chosen so as to lie in N(Q). In the above proof, we know, at least, that  $C_1 \subset V$ . Given N(Q), we

can choose a round 3-cell  $C_0$  with center at Q such that  $V' = \text{Int } C_0 \cup g(\text{Int } C_0) \subset N(Q)$ . Now V', Q, and  $g \mid V'$  satisfy all the conditions for V, Q, and g in the lemma. Therefore we can choose  $C_1$  so that  $C_1 \subset V' \subset N(Q)$ . Lemma 5.7.1 now follows.

In the following discussion, the notation of Lemma 5.7.1 and of the paragraph preceding it, will be regarded as conventions, but symbols used in the *proof* of the lemma may be used in different senses below.

Let S be a polyhedral solid torus (in a triangulated 3-manifold), let  $T = \operatorname{Bd} S$ , and let J and  $J_i$  be polygons in T. As in §4 of [M], J is latitudinal (in S) if J bounds a 2-cell in S but not in T; and  $J_i$  is longitudinal if  $J_i$  carries a generator of the 1-dimensional homology group  $H_1(S)$  (with integer coefficients). Theorem 4.6 of [M] asserts that if, also, J and  $J_i$  are in general position, then  $J_i$  crosses J algebraically once. (Conversely, if J is latitudinal, J and  $J_i$  are in general position, and  $J_i$  crosses J algebraically once, then  $J_i$  is longitudinal.) Theorem 4.7 of [M] asserts that if J is latitudinal and  $J_1, J_2, \ldots, J_m$  are longitudinal and disjoint, then there is a PL homeomorphism  $\phi \colon S \leftrightarrow S$  such that  $\phi(J)$  intersects each  $J_i$  in exactly one point, which is a "true crossing point".

For the case in which S is a solid Klein Bottle, we define the terms *latitudinal* and *longitudinal* in the same way. In this case Theorems 4.6 and 4.7 of [M] are still true and their proofs are essentially the same.

LEMMA 5.7.2. Under the conditions of Lemma 5.7.1, let  $D_1$  and  $D_1' = g(D_1)$  be disjoint 2-cells in Bd  $C_1$ , with boundaries which are polyhedra relative to  $K(\tilde{W})$ , and containing in their interiors the points R and R' of  $I \cap Bd$   $C_1$ . Let  $A_1$  be the annulus in Bd  $C_1$  such that Bd  $A_1 = Bd$   $D_1 \cup Bd$   $D_1'$ . Let  $A_2$  be a g-invariant polyhedral annulus in  $C_1$  such that (5) Bd  $A_2 = Bd$   $A_1 = A_2 \cap Bd$   $C_1$  and (6)  $A_2 \cap I = \emptyset$ . Let  $\tilde{T} = A_1 \cup A_2$ , let  $\tilde{S}$  be the closure of the bounded component of  $\mathbb{R}^3 - \tilde{T}$ , let  $T = \Pr_g \tilde{T}$ , and let  $S = \Pr_g \tilde{S}$ . If  $C_1$  lies in a sufficiently small neighborhood of Q, then

- (7)  $\tilde{S}$  is a solid torus.
- (8) S is a solid Klein Bottle.
- (9) There is a 2-cell  $E_1 \subset \tilde{S}$  such that  $E_1 \cap g(E_1) = \emptyset$ ,  $\operatorname{Bd} E_1$  is latitudinal in  $\tilde{S}$ , Int  $E_1 \subset \operatorname{Int} \tilde{S}$ , and  $E_1$  intersects  $\operatorname{Bd} A_1$  in exactly two points, which are "true crossing points".

PROOF OF LEMMA. Since I is a linear interval, it follows that there is a tame 2-cell d such that  $d \cap I$  is an arc in Bd d, containing Q in its interior. Obviously d - I is locally tame relative to  $K(\tilde{W})$ . Thus d - I is tame relative to  $K(\tilde{W})$ , and so we may assume that d - I is a polyhedron relative to  $K(\tilde{W})$ .

We now take  $C_1$  in a sufficiently small neighborhood of Q so that  $C_1 \cap \operatorname{Bd} d \subset \operatorname{Int}(d \cap I)$ . Thus  $\operatorname{Bd} d$  pierces  $\operatorname{Bd} C_1$  at R and R', and any generators of the 1-dimensional homology groups  $H_1(\operatorname{Bd} d)$  and  $H_1(\operatorname{Bd} D)$  (with integer coefficients) link one another with linking number  $\pm 1$ .

Now consider  $\tilde{S}$ ,  $\tilde{T}$  as in the lemma. We move Int d into general position relative to  $\tilde{T}$  in the usual sense; and now we choose d (subject to all the above conditions) so as to minimize the number of components of  $d \cap \tilde{T}$ .

Let J be a polygon which is a component of  $d \cap \tilde{T}$ , and let  $d_J$  be the 2-cell in d such that Bd  $d_J = J$ . Then J does not bound a 2-cell  $d_J'$  in  $\tilde{T}$ , because if so we could replace  $d_J$  by  $d_J'$  in d and move the resulting 2-cell off of  $\tilde{T}$  in the neighborhood of  $d_J'$ . This is impossible because it reduces the number of components of  $d \cap \tilde{T}$ . If Int  $d_J \subset \mathbb{R}^3 - \tilde{S}$ , then J is contractible in  $\mathbb{R}^3$  – Int  $\tilde{S}$  but does not bound a 2-cell in  $\tilde{T}$ . Theorem 4.9 of [M] asserts that under these conditions, J is longitudinal in  $\tilde{S}$ . Thus any small regular neighborhood of  $\tilde{S} \cup d_J$  is a 3-cell in  $\mathbb{R}^3$  – Bd d containing  $\tilde{S}$ ; and this is impossible, because a 1-cycle on  $\tilde{S}$  links a 1-cycle on Bd d with linking number  $\pm 1$ .

Thus (a) Int  $d_J \subset \text{Int } S$ . We know that Bd  $d_J$  does not bound a 2-cell in  $\tilde{T}$ , and from this it follows that (b) Bd  $d_J$  is not contractible in  $\tilde{T}$ .

PROOF. If Bd  $d_J$  is contractible in  $\tilde{T}$ , then a cycle  $Z_J^1$  which generates  $H_1(\operatorname{Bd} d_J)$  bounds on  $\tilde{T}$ , from which we can easily show that Bd  $d_J$  separates  $\tilde{T}$ . Thus  $\tilde{T}$  is the union of two connected 2-manifolds  $\tilde{T}_1$ ,  $\tilde{T}_2$  with boundary, with Bd  $\tilde{T}_1 = \operatorname{Bd} \tilde{T}_2 = \tilde{T}_1 \cap \tilde{T}_2 = \operatorname{Bd} d_J$ . Each  $\tilde{T}_i$  is thus a 2-cell with (possible) handles, and since  $\tilde{T}$  is a torus—i.e., a 2-sphere with only one handle—it follows that one of the sets  $\tilde{T}_i$  is a 2-cell, which is false. Therefore (b) Bd  $d_J$  is not contractible in  $\tilde{T}$ .

Let  $J' = \Pr_g J$ . Thus J' is contractible in  $S = \Pr_g \tilde{S}$ . Since  $g | \tilde{T} : \tilde{T} \to T \subset \Omega_g$  is a 2-fold covering, J' is not contractible in  $T = \Pr_g \tilde{T} = \operatorname{Bd} S$ . By the Loop Theorem it follows that there is a polyhedral 2-cell  $\Delta \subset S$ , with  $\Delta \cap T = \operatorname{Bd} \Delta$ , such that Bd  $\Delta$  is not contractible in T. Now  $\Pr_g^{-1} \Delta$  is the union of two disjoint 2-cells  $E_1$ ,  $E_1'$ , with  $E_1' = g(E_1)$ , and Bd  $E_1$  and Bd  $E_1'$  are latitudinal in  $\tilde{S}$ . Since Bd  $D_1$  is longitudinal in  $\tilde{S}$ , it follows that Bd  $D_1$  crosses Bd  $D_1$  is longitudinal in S.

Of course,  $E_1 \cup E_1'$  decomposes  $\tilde{S}$  into two 3-cells each of which is mapped onto S by  $\Pr_g$ . Thus  $\tilde{S}$  is a solid torus. Since g reverses orientation, S is a solid Klein Bottle rather than a solid torus.

By the results cited just before Lemma 5.7.2,  $\Delta$  can be chosen in such a way that Bd  $\Delta$  crosses  $\Pr_g$  Bd  $D_1$  exactly once, in a true crossing point. Now either of the components of  $\Pr_g^{-1} \Delta$  can be taken as the  $E_1$  of the conclusion of Lemma 5.7.2.

LEMMA 5.7.3. Let  $C_1$  and  $C_2$  be 2-cells satisfying the conditions of Lemmas 5.7.1 and 5.7.2, such that  $C_2 \subset \operatorname{Int} C_1$ . Then there is an annulus  $\tilde{B} \subset \operatorname{Cl}(C_1 - C_2)$  such that

- (10)  $\tilde{B}$  is tame in V,
- $(11) g(\tilde{B}) = \tilde{B},$
- (12) Int  $\tilde{B} \subset \text{Int } C_1 C_2$ ,
- (13) Bd  $\tilde{B}$  is the union of two 1-spheres  $J_1 \subset \operatorname{Bd} C_1$  and  $J_2 \subset \operatorname{Bd} C_2$  and
- (14)  $I \cap \operatorname{Cl}(C_1 C_2) \subset \tilde{B}$ .

(Here (10) means merely what it says:  $\tilde{B} - I$  is not necessarily a polyhedron relative to  $K(\tilde{W})$ .)

PROOF OF LEMMA. As before, let  $I \cap \operatorname{Bd} C_1 = \{R, R'\}$ ; and let  $I \cap \operatorname{Bd} C_2 = \{S, S'\}$ , where RS and R'S' are the components of  $I \cap \operatorname{Cl}(C_1 - C_2)$ . Let  $\mathbf{B}^2$  be the unit ball in  $\mathbf{R}^2$  with center (0, 0) and consider the cylinder  $\mathbf{B}^2 \times [0, 1]$ . We

assert that  $C_1$  and  $C_2$  can be chosen in such a way that there is a homeomorphism  $\phi$ :  $\mathbf{B}^2 \times [0, 1] \leftrightarrow C_3 \subset C_1$  - Int  $C_2$ , such that (a)  $\phi(\mathbf{B}^2 \times 0) = D_1 = C_3 \cap \mathrm{Bd} C_1$ , (b)  $\phi(\mathbf{B}^2 \times 1) = D_2 = C_3 \cap \text{Bd } C_2$ , (c)  $\phi((0, 0) \times [0, 1]) = RS$ , (d)  $\text{Bd } C_3 - C_3 \cap C_3$  $\{R, S\}$  is a polyhedron relative to  $K(\tilde{W})$ , and (e)  $C_3 \cap g(C_3) = \emptyset$ . Such a  $\phi$  can be constructed as follows. Let  $E_R$  and  $E_S$  be the planes through R and S orthogonal to I. Since Bd  $C_1$  and Bd  $C_2$  are tame, we can move neighborhoods of R and S, in Bd  $C_1$  and Bd  $C_2$ , into  $E_R$  and  $E_S$  respectively, by a homeomorphism  $\psi \colon V \leftrightarrow V$ which is close to the identity and which is the identity on I. Now RS is the axis of symmetry of a thin cylinder C with its bases in  $E_R$  and  $E_S$ . Let  $C' = \psi^{-1}(C)$ . Now move the 1-spheres  $Bd(C' \cap Bd C_i)$  onto polygons by a homeomorphism  $V \leftrightarrow V$ , Bd  $C_i \leftrightarrow \text{Bd } C_i$ . By Theorem 5.2, we can move Bd  $C' - \{R, S\}$  onto a polyhedron by a homeomorphism  $\rho: V \leftrightarrow V$  which is the identity on Bd  $C_1 \cup$  Bd  $C_2$  and which differs from the identity only in an arbitrarily small neighborhood of Cl[Bd C' – (Bd  $C_1 \cup$  Bd  $C_2$ )]. Let  $C_3 = \rho(C')$ . Then  $C_3$  satisfies conditions (a)–(d) of the lemma. We know that  $RS \cap g(RS) = \emptyset$  because g(RS) = R'S'. Therefore, to ensure that  $C_3$  satisfies (e), we merely choose C in a sufficiently small neighborhood of RS.

Let  $A_1 = \text{Cl}[\text{Bd } C_1 - (C_3 \cup g(C_3))]$ , so that  $A_1$  is an annulus. Thus  $\text{Bd } C_1 = A_1 \cup D_1 \cup D_1'$ , where  $D_1 = \text{Bd } C_1 \cap \text{Bd } C_3$  and  $D_1' = \text{Bd } C_1 \cap \text{Bd } g(C_3)$ . Let  $A_2 = \text{Cl}[\text{Int } C_1 \cap \text{Bd}(C_3 \cup C_2 \cup g(C_3))]$ , so that  $C_1, A_1$ , and  $A_2$  satisfy the conditions of Lemma 5.7.2. Let  $E_1$  be as in the conclusion of Lemma 5.7.2. Now  $A_2$  is the union of the three annuli  $A_2 \cap C_3$ ,  $A_2 \cap C_2$ , and  $A_2 \cap g(C_3)$ . As in the proof of Lemma 5.7.2, we can "straighten out"  $\text{Bd } E_1 \cap A_2$  in such a way that  $\text{Bd } E_1$  intersects  $\text{Bd}(A_2 \cap C_2)$  in exactly two points, which are true crossing points.

Consider the set  $\Pr_g(E_1 \cup C_3) \subset \Omega_g - \Pr_g Q$ . This set is tame. Therefore it is a polyhedron relative to some triangulation K of the 3-manifold  $\Omega_g - \Pr_g Q$ . The set  $\Pr_g E_1$  intersects Bd  $\Pr_g C_3$  in the union of two disjoint broken lines  $b = \Pr_g(E_1 \cap \operatorname{Bd} C_3)$ , and  $b' = \Pr_g(E_1 \cap g(C_3))$ ; and each of the broken lines b, b' have end points in  $\Pr_g \operatorname{Bd} C_1$  and  $\Pr_g \operatorname{Bd} C_2$ . Since  $\Pr_g(I \cap C_3)$  is "unknotted in  $\Pr_g C_3$ ", in the obvious sense (or see [MGT, p. 134]), it follows by an easy construction that there is a 2-cell  $d \subset \Pr_g C_3$ , containing  $\Pr_g(I \cap C_3)$ , such that  $d \cap \operatorname{Bd} C_3 = \operatorname{Bd} d$  and such that  $\operatorname{Bd} d$  is the union of b, b', an arc in  $\Pr_g \operatorname{Bd} C_1$ , and an arc in  $\Pr_g \operatorname{Bd} C_2$ . Thus d is "untwisted in  $\Pr_g C_3$ ", and the set  $B = \Pr_g E_1 \cup d$  is an annulus (rather than a Möbius band). Let  $\tilde{B} = \Pr_g^{-1} B$ . Since B is tame in  $\Omega_g - \Pr_g Q$ , it follows that  $\tilde{B}$  is locally tame in V - Q, and so  $\tilde{B}$  is tame in V. Thus  $\tilde{B}$  satisfies all the conditions of Lemma 5.7.3.

Let  $N^2$  be a triangulated 2-manifold and let h be a homeomorphism  $N^2 \leftrightarrow N^2$ . If there is a polyhedral 2-cell d such that  $h|(N^2-d)$  is the identity, then h is cellular. Suppose also that N lies in Bd  $N^3$  where  $N^3$  is a 3-manifold with boundary. Then there is a 3-cell  $C^3 \subset N^3$  such that  $d = \operatorname{Bd} C^3 \cap \operatorname{Bd} N^3$ ;  $C^3$  can be chosen so as to lie in any preassigned closed neighborhood of Int d; and now h can be extended so as to give a homeomorphism  $N^3 \leftrightarrow N^3$  which differs from the identity only in Int  $C^3 \cup \operatorname{Int} d$ . (To get the extension, we express  $C^3$  as the join of d and a point.) A similar conclusion holds if  $N^2$  is tame in a 3-manifold  $N^3$ ; we take 3-cells  $C_1^3$ ,

 $C_2^3$ , "close to d" such that  $C_1^3 \cap C_2^3 = d = C_i^3 \cap N^2$ .

LEMMA 5.7.4. Let J be a 1-sphere in a triangulated 2-manifold  $N^2$ . Then J is tame. In fact, given  $Q \in J$ , J can be moved onto a polyhedron by a finite sequence of cellular homeomorphisms each of which leaves Q fixed.

INDICATION OF PROOF. Theorem 10.7 on p. 73 of [MGT] asserts that in  $\mathbb{R}^2$  every topological linear graph M without end points is tame. The same proof applies, to give the same conclusion, in an arbitrary triangulated 2-manifold. In the proof, we take a triangulation of M, so that certain points and arcs become "vertices" and "edges" of M. We then move M onto a polyhedron by a sequence of cellular homeomorphisms each of which leaves every "vertex" of M fixed. (In [MGT], it was not noted that these homeomorphisms are cellular, but they are, simply by construction.) Since any point Q of J is a vertex in some triangulation of J, the proof just described is also a proof of Lemma 5.7.4.

By a square diagram of a projective plane  $N^2$  we mean a mapping  $\psi$ :  $[0, 1]^2 \longrightarrow N^2$  which identifies antipodal points of Bd[0, 1]<sup>2</sup> and is a homeomorphism elsewhere.  $N^2$  has a triangulation which is the image of a rectilinear triangulation of  $[0, 1]^2$ . Polyhedra in  $N^2$  are defined relative to such a triangulation.

LEMMA 5.7.5. Let  $N^2$  be a projective plane, let J be a 1-sphere in  $N^2$ , and suppose that J does not bound a 2-cell in  $N^2$ . Then

- (1)  $N^2$  has a square diagram  $\rho: [0, 1]^2 \longrightarrow N^2$  such that  $J = \rho(Bd[0, 1]^2)$ .
- (2) Let  $\psi$ :  $[0, 1]^2 \rightarrow N^2$  be any square diagram of  $N^2$  and let Q be a point of  $J \cap \psi(Bd[0, 1]^2)$ . Then J can be moved onto  $\psi(Bd[0, 1]^2)$  by a finite sequence of cellular homeomorphisms each of which leaves Q fixed.

Here (1) means that a 1-sphere can be imbedded in a projective plane in essentially only two ways. It is easy to show that (2) implies (1). Given a square diagram  $\psi$  for  $N^2$ , J must intersect  $\psi(Bd[0, 1]^2)$ , since otherwise J would bound a 2-cell in  $N^2$ . Let h be a homeomorphism as in (2), and let  $\rho = h^{-1}(\psi)$ .

It remains to prove (2). First, by a cellular homeomorphism  $N^2 \leftrightarrow N^2$ , leaving Q fixed, we move J onto a polygon  $J_1$ . By another such homeomorphism, we move  $J_1$  onto a polygon  $J_2$  which is in "almost general position", in the sense that the set  $J_2 \cap \psi(\text{Bd}[0, 1]^2)$  is finite and each of its points, except perhaps for Q, is a true crossing point of J and  $\psi(\text{Bd}[0, 1]^2)$ . Let  $K = \psi^{-1}(J_2) \subset [0, 1]^2$ . Let  $b_1, b_2, \ldots, b_m$  be the closures of the components of  $K \cap \text{Int}[0, 1]^2$ . No set  $b_i$  can be a polygon, because if so,  $b_i = K$  and  $J_2$  bounds a 2-cell in  $N^2$ , which is false. Therefore each  $b_i$  is a broken line with its end points in  $\text{Bd}[0, 1]^2$ .

Case 1. Suppose that some  $b_i$  has end points v, v' which are antipodal. Then  $b_i = K$  and  $\{v, v'\} = \psi^{-1}(Q)$ . Let b' be either of the two arcs in Bd[0, 1]<sup>2</sup> between v and v'. By two cellular homeomorphisms  $N^2 \leftrightarrow N^2$ , leaving Q fixed, we can move  $\psi(b_i)$  onto  $\psi(b')$ , and now we are done, because  $\psi(b') = \psi(\text{Bd}[0, 1]^2)$ . (First we move "half of  $b_i$ " onto "half of b'" by a homeomorphism of the above type and then we move "the rest of  $b_i$ " onto "the rest of b'".)

Case 2. Suppose that no set  $b_i$  has antipodal end points v, v'. Let b' be the arc in Bd[0, 1]<sup>2</sup> between v and v' which is short, in the sense that it does not intersect its image under the antipodal mapping. Now  $b_i \cup b'$  is the boundary of a 2-cell  $d_i \subset [0, 1]^2$ . Evidently some  $b_i$  is outermost in  $[0, 1]^2$ , in the sense that  $d_i$  contains no set  $b_j \neq b_i$ . Given such a  $b_i$ , suppose that  $\{v, v'\} \cap \psi^{-1}(Q) = \emptyset$ , so that  $\psi(v)$  and  $\psi(v')$  are crossing points of  $J_2$  and  $\psi(Bd[0, 1]^2)$ . Thus  $\psi(b_i)$  can be moved across  $\psi(Bd[0, 1]^2)$  by a cellular homeomorphism  $N^2 \leftrightarrow N^2$  leaving Q fixed. This reduces m. If  $\psi(v) = Q$  or  $\psi(v') = Q$ , then we can move  $\psi(b_i) - Q$  across  $\psi(Bd[0, 1]^2)$  by a homeomorphism of the same type, and this also reduces m. Thus, in a finite number of steps, we get Case 1 and the lemma follows.

Let C be a set of points in a metric space. Then  $\delta C$  is the supremum of the distances d(P, Q) where  $P, Q \in C$ . (Thus we may have  $\delta C = \infty$ .)

LEMMA 5.7.6. Under the conditions of Lemma 5.7.1, there is a sequence  $C_1, C_2, \ldots$  of 3-cells and a sequence  $\tilde{B}_1, \tilde{B}_2, \ldots$  of annuli, such that (a) for each i,  $C_i, C_{i+1}$ , and  $\tilde{B}_i$  satisfy the conditions for  $C_1, C_2$ , and  $\tilde{B}$  in Lemma 5.7.3, (b)  $\lim_{i\to\infty} \delta C_i = 0$ , (c) the set  $D = \bigcup_{i=1}^{\infty} \tilde{B}_i \cup \{Q\}$  is a 2-cell, and (d) D is tame.

Here (d) is equivalent to the statement that  $C_1$  is the union of two 3-cells  $E^+$  and  $E^-$ , with  $E^+ \cap E^- = \operatorname{Bd} E^+ \cap \operatorname{Bd} E^- = D$ .

PROOF OF LEMMA. By repeated applications of Lemma 5.7.3, we get  $C_1, C_2, \ldots$  and  $\tilde{B}'_1, \tilde{B}'_2, \ldots$  satisfying the conditions for  $C_i$  and  $\tilde{B}_i$  in (a) and (b). Next we need to show that the boundaries of successive annuli  $\tilde{B}'_i$  can be made to coincide, so that (c) is satisfied. For each i, let  $\tilde{B}'_i = \Pr_g \tilde{B}'_i$  and let  $T_i^2 = \Pr_g \operatorname{Bd} C_i$ . Let  $Q_i = \Pr_g (I \cap \operatorname{Bd} C_i)$ , so that for each i > 1,  $Q_i \in B'_{i-1} \cap B'_i \subset T_i^2$ .

$$J_i = \operatorname{Bd} B'_{i-1} \cap T_i^2$$
,  $J'_i = \operatorname{Bd} B'_i \cap T_i^2$ .

Evidently neither  $J_i$  nor  $J_i'$  bounds a 2-cell in  $T_i^2$ . Therefore, by conclusion (1) of Lemma 5.7.5,  $T_i^2$  has a square diagram  $\psi$  such that  $J_i = \psi(\text{Bd}[0, 1]^2)$ . By (2) of Lemma 5.7.5,  $J_i'$  can be moved onto  $J_i$  by a cellular homeomorphism  $T_i^2 \leftrightarrow T_i^2$  leaving  $Q_i$  fixed. We need to extend this to get a homeomorphism h:  $\Pr_g C_i \leftrightarrow \Pr_g C_i$  such that  $h|\Pr_g C_{i+1}$  and  $h|\Pr_g (C_i \cap I)$  are identity mappings. See the discussion just before Lemma 5.7.4. Given a cellular homeomorphism  $h_j$ :  $T_i^2 \leftrightarrow T_i^2$  leaving  $Q_i$  fixed, let d be the 2-cell on which  $h_j$  may not be the identity. If  $Q_i \notin \text{Int } d$ , then we take  $C^3$  as usual, "close to d" and not intersecting  $\Pr_g C_{i+1}$  or  $\Pr_g I$  (except perhaps at  $Q_i$  if  $Q_i \in \text{Bd } d$ ). If  $Q_i \in \text{Int } d$ , then we take  $C^3$  in such a way that  $\Pr_g I$  pierces  $\text{Bd } C^3$  at exactly two points  $Q_i$  and Q' and intersects  $\text{Bd } C^3$  nowhere else; and we express  $C^3$  as the join of  $Q_i$  and Q' in such a way that  $C^3 \cap \Pr_g I$  is the join of  $Q_i$  and Q'. Since  $h(Q_i) = Q_i$ , the standard extension of  $h_j|d$  to  $C^3$  is the identity on  $C^3 \cap \Pr_g I$ , as desired.

Now let  $B_i = h(B_i')$ ,  $\tilde{B}_i = \Pr_g^{-1} B_i$ . Then  $\tilde{B}_1$ ,  $\tilde{B}_2$ , ... satisfies (c). Trivially, (b) is still satisfied. And so also is (a): the extensions of the homeomorphisms  $h_j$  were defined so as to ensure that  $I \cap \text{Cl}(C_i - C_{i+1}) \subset \tilde{B}_i$ .

It remains to prove (d). Now  $\tilde{B}_1 \subset \operatorname{Bd} C_1$  decomposes  $\operatorname{Bd} C_1$  into two 2-cells. Let  $D_1$  be one of these. There is then a 2-cell  $D_2 \subset \operatorname{Bd} C_2$  such that  $D_1 \cup \tilde{B}_1 \cup D_2$  is the boundary of a 3-cell  $D_1^3$ , disjoint from Int  $C_2$ . Recursively, we get a sequence

 $D_1^3$ ,  $D_2^3$ ,... of 3-cells with  $D_i^3 \cap D_{i+1}^3 = D_{i+1} \subset \operatorname{Bd} C_{i+1}$  for each *i*. By an obvious construction, the set

$$E^+ = \bigcup_i D_i^3 \cup \{Q\}$$

is a 3-cell. (For a very similar construction, see [MGT, pp. 140-141].) Starting with  $D_1' = \text{Cl}(\text{Bd } C_1 - D_1)$ , we get a similar sequence of 3-cells whose union together with O is a 3-cell  $E^-$ . Thus

$$C_1 = E^+ \cup E^-, \qquad E^+ \cap E^- = D,$$

and so D is locally tame at every point of Int D. Since  $\tilde{B}_1$  is tame, D is locally tame at every point of Bd  $D \subset Bd$   $\tilde{B}_1$ . Therefore D is tame, and (d) holds.

LEMMA 5.7.7. Under the conditions of Lemma 5.7.6,  $\Pr_g C_1$  has a star-triangulation  $K_1 = \operatorname{St} \Pr_g Q$  in which  $\Pr_g (C_1 \cap I)$  forms an edge.

PROOF OF LEMMA. Consider the homeomorphism  $g|D: D \leftrightarrow D$ , which reverses orientation and has Q as its only fixed point. Thus g|D is conjugate to the antipodal mapping  $\mathbf{B}^2 \leftrightarrow \mathbf{B}^2$ . Also,  $g(D \cap I) = D \cap I$ . It follows that D has a star-triangulation  $K(D) = \operatorname{St} Q$  such that  $D \cap I$  is the union of two edges of K(D) and such that g|D is simplicial relative to K(D). Evidently K(D) can be extended so as to give a star-triangulation  $K(E^+) = \operatorname{St} Q$  of the 3-cell  $E^+$  described in the proof of the preceding lemma. Now let

$$K_1 = \operatorname{Pr}_{g} K(E^+) = \{ \operatorname{Pr}_{g} \sigma | \sigma \in K(E^+) \}.$$

Then  $K_1$  is as desired in Lemma 5.7.7.

From Lemma 5.7.7, Theorem 5.7 follows immediately.

Let  $N^3$  be a 3-manifold with boundary and let L be a set of points in  $N^3$ . If  $N^3$  has a triangulation relative to which L is a polyhedron, then L is tame (in  $N^3$ ). (In the case in which  $N^3$  is triangulated and Bd  $N^3 = \emptyset$ , this definition of tame is equivalent to the standard definition.) Suppose that for every point P of L there is a closed neighborhood  $N_P$  of P in  $L \cup Bd$   $N^3$ , an open neighborhood  $U_P$  of  $N_P$  in  $N^3$ , and a homeomorphism  $h: U_P \to Int N^3$ , such that  $h(N_P)$  is tame in  $Int N^3$ . Then L is locally tame (in  $N^3$ ).

THEOREM 5.8. Let  $N^3$  be a compact 3-manifold with boundary and let L be a set of points in  $N^3$  such that L is locally tame. Then L is tame. If L is compact, then  $N^3$  has a triangulation in which L forms a subcomplex.

PROOF.  $N^3$  lies in a 3-manifold  $M^3$  in which Bd  $N^3$  is the frontier of  $N^3$ . (For example, we may adjoin to  $N^3$  the product Bd  $N^3 \times [0, 1)$ , where [0, 1) is the half-open interval.) Our hypothesis implies that  $L \cup Bd N^3$  is locally tame relative to any triangulation  $K(M^3)$  of  $M^3$ . By the local tame imbedding theorem,  $L \cup Bd N^3$  is tame in  $M^3$ . Thus there is a homeomorphism  $g: M^3 \leftrightarrow M^3$  such that  $g(L \cup Bd N^3)$  is polyhedral relative to  $K(M^3)$ . Since Bd  $N^3$  is compact,  $K(M^3)$  has a subdivision  $K'(M^3)$  in which  $g(N^3)$  forms a subcomplex  $K'(g(N^3))$  and  $g(L \cup Bd N^3)$  is polyhedral relative to  $K'(g(N^3))$ . Mapping back by  $(g|N^3)^{-1}$ , we get a triangulation  $K(N^3)$  relative to which  $L \cup Bd N^3$  is polyhedral. If L is compact, then  $K(N^3)$  has a subdivision in which L forms a subcomplex.

6. Proof of Theorem 1.4: Conclusion. We resume the discussion in §4. We have  $\Omega_{r-q}$  and  $K(\Omega_{r-q})$ , satisfying the conditions of Theorem 4.4. We have

$$n = p_1 p_2 \cdot \cdot \cdot p_r = 2^q p_{q+1} p_{q+2} \cdot \cdot \cdot p_r.$$

For  $r - q \le i < r$ , we have  $m_i = p_1 p_2 \cdot \cdot \cdot p_{r-i} = 2^{r-i}$ , and for i = r we also get the "right answer"  $m_r = 1$ . Thus  $m_{r-q+1} = 2^{q-1} = k$  and  $f^k$  induces a homeomorphism

$$f_*^k : \Omega_{r-a} \leftrightarrow \Omega_{r-a}$$

of period 2. As before, let  $\Omega^*_{r-q+1}$  be the orbit space of  $f^k_*$  and let  $\Pr^*_{r-q+1}$  be the projection  $\Omega_{r-q} \to \Omega^*_{r-q+1}$ . As before,  $\Omega^*_{r-q+1}$  and  $\Omega_{r-q+1}$  are essentially indistinguishable.

THEOREM 6.1.  $\Omega_{r-q+1}$  has a triangulation  $K(\Omega_{r-q+1})$  in which each set  $\Pr_{r-q+1} F_n$  forms a subcomplex and in which each simplex intersects  $\bigcup_s \Pr_{r-q+1} F_n$  in a simplex.

PROOF. As for i < r - q, let  $G_{r-q}$  be the fixed-point set of  $f_*^k$ . Thus  $G_{r-q} \subset \Pr_{r-q} F_{n/2}$  and  $G_{r-q}$  is a manifold. The components G of  $G_{r-q}$  may be manifolds of any dimension from 0 to 2; they need not all be of the same dimension. Hereafter G will always be a component of  $G_{r-q}$ .

LEMMA 6.1.1. Suppose that G is a 1-sphere. Then there is a component F of  $F_{n/2}$  such that F is a 1-sphere and  $\Pr_{r-q} F = G$ .

PROOF OF LEMMA. Let F be a component of  $F_{n/2}$  that intersects  $\Pr_{r-q}^{-1}G$ . Then F is a manifold of dimension 1 or 2. We know that the orbit space  $\Omega_{r-q+1}$  is homeomorphic to  $\Omega_{r-q+1}^*$ . Now  $\Omega_{r-q}$  is locally Euclidean and  $f_*^k|(\Omega_{r-q}-G_{r-q+1})$  is a covering. Let  $W^*$  be an open set in  $\Omega_{r-q+1}^*$ , containing  $\Pr_{r-q+1}^*G$  such that

$$W^* \cap \Pr_{r-q+1}^* G_{r-q+1} = \Pr_{r-q+1}^* G.$$

Then  $W^*$  is locally Euclidean except perhaps at the points of a 1-dimensional set (namely,  $\Pr_{r-q+1}^* G$ ). It follows that the corresponding set  $W \subset \Omega_{r-q+1}$  is locally Euclidean except perhaps at the points of a 1-dimensional set.

But  $\Omega_{r-q+1}$  can also be formed in the following way. We rearrange the prime factors of n, writing  $n=2^{q-1}p_{q+1}p_{q+2}\cdots p_r2$ . Using this order, we get a new sequence  $\Omega_1'$ ,  $\Omega_2'$ , ...,  $\Omega_{r-q}'$ ,  $\Omega_{r-q+1}'$ , where  $\Omega_1'$  is the orbit space of  $f_{n/2}$  and the rest of the sequence is formed as in §4. Let  $\Pr_i'$  be the projection  $M \to \Omega_i'$ .

Now suppose that F is a 2-manifold. Then  $f^{n/2}$  reverses local orientation at each point of F and no point of  $\Pr'_1 F$  has a Euclidean neighborhood in  $\Omega'_1$ . In Theorem 4.1 and the preceding discussion, we did not use the hypothesis that the  $p_i$ 's were arranged in order of magnitude. Therefore Theorem 4.1 implies to the formation of the new orbit space sequence, and so, at every stage,  $\Omega'_{i+1}$  is the orbit space of  $\Omega'_i$  under a homeomorphism whose fixed-point set is at most 1-dimensional, such that the projection  $\Omega'_i \to \Omega'_{i+1}$  is a local homeomorphism elsewhere. Therefore every neighborhood of every point of  $\Pr'_{r-q+1} F$  in  $\Omega'_{r-q+1}$  contains a 2-dimensional set none of whose points have open Euclidean neighborhoods in  $\Omega'_{p-q+1}$ ; the latter is

impossible, because  $\Omega'_{r-q+1} = \Omega_{r-q+1}$ . Therefore F is a 1-manifold, and since F is connected, F is a 1-sphere. This holds for every component of  $F_{n/2}$  that intersects  $\Pr_{r-q}^{-1} G$ .

Since G is connected, G lies in a single component C of  $\Pr_{r-q} F_{n/2}$ . By (3) of Theorem 4.3,  $C = \Pr_{r-q} C'$ , for some component C' of  $F_{n/2}$ . Let F = C'. Then F is a 1-sphere and  $G = \Pr_{r-q} F$ .

We resume the proof of Theorem 6.1. For j=1,2, let  $M^j$  be the union of all j-dimensional components of all sets  $\Pr_{r-q} F_{n_r} \subset \Omega_{r-q}$ . Let  $g=f_*^k$ . By Theorems 4.3 and 4.4,  $\Omega_{r-q}$ ,  $M^1$ ,  $M^2$ , and g satisfy all the conditions for  $M^3$ ,  $M^2$ ,  $M^1$ , and g in §5. We shall build up the desired triangulation of  $\Omega_{r-q+1}$  in two steps as follows.

Step 1. Let  $G^0$  be the set of all isolated points of  $G_{r-q}$ . If  $G^0 = \emptyset$ , proceed immediately to Step 2. If not, let  $P_i \in G^0$ . For  $P_i \in M^2 - M^1$ , let  $C_i$  be the C of Theorem 5.5; for  $P_i \in M^1 \cap M^2$ , let  $C_i$  be the C of Theorem 5.6; and for  $P_i \in M^1 - M^2$ , let  $C_i$  be the C of Theorem 5.7. In each of these cases, let  $K(C_i)$  be the star-triangulation of  $\Pr_{r-q+1}^* C_i$  given by the corresponding theorem so that each  $K(C_i)$  has the form St  $\Pr_{r-q+1}^* P_i$ .

each  $K(C_i)$  has the form St  $\Pr_{r=q+1}^* P_i$ . Finally, for  $P_i \notin M^1 \cup M^2$ , we use Theorem 1.3 to get the analogous  $C_i$  and  $K(C_i)$ . (Note that this is the most difficult case; it is the one that requires Theorem 1.2 (Rubinstein).)

We choose the sets  $C_i$  in such a way that they are disjoint.

Step 2. Let

$$N^3 = \Omega_{r-q+1}^* - \bigcup_i \Pr_{r-q+1}^* \text{Int } C_i,$$

and let

$$L = N^3 \cap \bigcup_{s} \operatorname{Pr}_{r-q+1}^* F_{n_s}.$$

LEMMA 6.1.2.  $N^3$  is a 3-manifold with boundary, and L is tame in  $N^3$  in the sense of Theorem 5.8.

PROOF OF LEMMA. Let G be a 1-dimensional component of  $G_{r-q}$ . Then  $G \cap \bigcup_i C_i = \emptyset$  and g preserves local orientation at each point of G. By Lemma 6.1.1, G is a component of  $\Pr_{r-q} F_{n/2}$ . By Theorem 4.3 it follows that G is tame. By Theorem 2.1,  $\Omega^*_{r-q+1}$  is locally Euclidean at each point of  $G' = \Pr^*_{r-q+1} G$ , and G' is tame in a neighborhood of G'. Since G is a 1-dimensional component of  $\Pr_{r-q} F_{n/2}$ , it follows that G is a component of  $\Pr_{r-q} F_{n/2}$ , it follows that G is a component of  $\Pr_{r-q} F_{n/2}$ . Therefore G' is open in F, and F is locally tame at each point of F.

Let G be a 2-dimensional component of  $G_{r-q}$ . Then each point P of  $G' = \Pr_{r-q+1}^* G$  has a 3-cell neighborhood V in  $N^3$  such that  $L \cap V$  is tame. The point is that G is tame, being a component of  $\Pr_{r-q} F_{n/2}$ , and g reverses local orientation at each point P of G. Thus, in small neighborhoods of P,  $N^3$  looks simply like a subspace of  $M - \bigcup_i \operatorname{Int} C_i$ . Therefore L is locally tame at each point of G'.

At every point of  $\Omega_{r-q} - G_{r-q}$ ,  $\Pr_{r-q}^*$  is a local homeomorphism. Therefore L is everywhere locally tame in  $N^3$ . The lemma follows.

Thus Theorem 5.8 applies to  $N^3$  and L. Let  $K(N^3)$  be as in the conclusion of Theorem 5.8. Now each set  $\Pr_{r-q+1}^* \operatorname{Bd} C_i$  is a projective plane and is a component of  $\operatorname{Bd} N^3$ . Therefore each such set forms a subcomplex of  $K(N^3)$  in which  $L \cap \Pr_{r-q+1}^* \operatorname{Bd} C_i$  forms a subcomplex. Since  $K(C_i) = \operatorname{St} \Pr_{r-q+1}^* P_i$ , it follows that  $\Pr_{r-q+1}^* C_i$  has a triangulation which has all the stated properties of  $K(C_i)$ , such that  $K(N^3) \cup \bigcup_i K'(C_i)$  is a triangulation of  $\Omega_{r-q+1}^*$ . Passing to  $\Omega_{r-q+1}$  as before, we get the desired triangulation  $K(\Omega_{r-q+1})$ . This completes the proof of Theorem 6.1.

We recall that  $n=2^q p_{q+1} p_{q+2} \cdots p_r$ . For q=0, the proof of Theorem 1.4 was complete at the end of §4; for q=1, we have  $\Omega_{r-q+1}=\Omega_r$ , so that Theorem 1.4 follows from Theorem 6.1. Hereafter we suppose that q>1.

From the proof of Theorem 6.1 it is evident that every point of  $\Omega_{r-q+1}$  has (a) an open Euclidean neighborhood, (b) a closed neighborhood which is a 3-cell or (c) a closed neighborhood which is homeomorphic to the join of a point with a projective plane. Such a metric space will be called a 3-manifold with boundary and singularities. For each such space N, let Int N be the set of all points which have neighborhoods of type (a); let Bd N be the set of all points of N which have neighborhoods of type (b) but not of type (a); and let S(N) be the set of all points of N which have neighborhoods of type (c).

We recall that f induces a homeomorphism  $f_*: \Omega_{r-q+1} \leftrightarrow \Omega_{r-q+1}$ .

THEOREM 6.2. Let  $P \in M$  and let  $Q = \Pr_{r-q+1} P \in \Omega_{r-q+1} - \operatorname{Int} \Omega_{r-q+1}$ . Then Q has period exactly  $2^{q-1}$  relative to  $f_{*}$ .

PROOF. Suppose that  $f_*^{2^{q-2}}(Q) = Q$ . By abuse of language we use the same symbol  $f_*$  for the induced homeomorphism  $\Omega_{r-q} \leftrightarrow \Omega_{r-q}$ . Now  $Q \notin \operatorname{Int} \Omega_{r-q+1}$  only if  $\operatorname{Pr}_{r-q} P$  is a fixed point of  $f_*^k = f_*^{2^{q-1}}$ . Therefore  $Q = \operatorname{Pr}_{r-q+1} P$  and  $\operatorname{Pr}_{r-q} P$  are exactly the same set of points in M. Our assumption  $f_*^{2^{q-2}}(Q) = Q$  means precisely that  $f^{2^{q-2}}(Q) = Q$  (where  $Q \subset M$ ). Now  $f_*^{2^{q-2}} \colon \Omega_{r-q} \leftrightarrow \Omega_{r-q}$  either preserves or reverses local orientation at Q. In either case,  $f_*^k = (f_*^{2^{q-2}})^2$  preserves local orientation at Q. Therefore dim  $G_{r-q} = 1$ , and  $\Omega_{r-q+1}$  is locally Euclidean at Q, which contradicts the hypothesis for Q.

Consider now what happens when we iterate this process to pass from  $\Omega_{r-q+1}$  to  $\Omega_{r-q+2}$ . We suppose that  $K(\Omega_{r-q+1})$  is as in Theorem 6.1 and that the points Q of  $S(\Omega_{r-q+1})$  have disjoint closed stars St Q in  $K(\Omega_{r-q+1})$ . Evidently  $K(\Omega_{r-q+1})$  can be chosen so that these stars are permuted by  $f_*: \Omega_{r-q+1} \leftrightarrow \Omega_{r-q+1}$  and hence also by  $f_*^{2^{q-2}}$ . Consider the set

$$N = \operatorname{Cl} \left[ \Omega_{r-q+1} - \bigcup_{Q} |\operatorname{St} Q| \right].$$

As before, let  $G_{r-q+1}$  be the fixed-point set of  $f_*^{2^{q-2}}$ . By Theorem 6.2,  $G_{r-q+1} \subset Int N$ .

Now let N' be a "doubling" of N,  $= N \cup \phi(N)$ , where  $\phi$  is a homeomorphism,  $\phi \mid \text{Bd } N$  is the identity, and  $N \cap \phi(N) = \text{Bd } N = \text{Bd } \phi(N)$ . For each subset A of N, let A' be the doubling  $A \cup \phi(A)$  of A. We define a doubling g' of the homeomorphism  $g = f_*^{2^{\sigma-2}} \mid N$  in the obvious way: for each  $P \in N$ , g'(P) = g(P)

and  $g'(\phi(P)) = \phi(g(P))$ . Then the fixed-point set of g' is  $G'_{r-q+1}$ ;  $G'_{r-q+1} \cap \operatorname{Bd} N = \emptyset$ ; and by Theorem 3.1,  $G'_{r-q+1}$  is a manifold. Let G be a component of  $G_{r-q+1}$ . If G is 1-dimensional, then it follows as in the proof of Lemma 6.1.1 that  $G = \operatorname{Pr}_{r-q+1} F$ , where F is a component of  $F_{n/2}$  and a 1-sphere. As before, it follows that the union of the 1-dimensional components of  $G_{r-q+1}$  is tame. So also is the union of the 2-dimensional components. Therefore  $G'_{r-q+1}$  is tame in N'. Now the sets  $N \cap \operatorname{Pr}_{r-q+1} F_{n}$ , are tame in N (under our conditions for  $K(\Omega_{r-q+1})$ ). From this it follows that the set

$$\operatorname{Bd} N \cup \bigcup_{s} (N \cap \operatorname{Pr}_{r-q+1} F_{r_{s}})'$$

is tame in N'; the point is that Bd N intersects a set  $(N \cap Pr_{r-q+1} F_n)'$  only where the former is pierced by a 1-dimensional component of the latter.

Thus, in forming a triangulation of the orbit space  $\Omega$  of g', we are in essentially the same situation as in the proof of Theorem 6.1, except that we need to treat the invariant set Bd N as if it were part of the invariant set  $\bigcup_s (N \cap \Pr_{r-q+1} F_{n_s})'$ . Let Pr be the projection  $N' \to \Omega$ . By the same methods as in the proof of Theorem 6.1, we get a triangulation  $K(\Omega)$  in which (a) each of the sets Pr Bd N,  $\Pr(N \cap \Pr_{r-q+1} F_{n_s})'$  forms a subcomplex and (b) each simplex intersects each set mentioned in (a) in a simplex. Let  $\Omega_g$  be the orbit space of  $g = f_*^{2^{q-2}}|N$  and let  $\Pr_g$  be the projection  $N \to \Omega_g$ . We now have a triangulation  $K(\Omega_g)$  in which each set  $\Pr_g(N \cap \Pr_{r-q+1} F_{n_s})$  forms a subcomplex. Now some components of Bd  $\Omega_g$  may be of the type  $\Pr M^2$ , where  $M^2$  is a projective plane in  $\Omega_{r-q+1}$  and a set |St Q| is the join of  $M^2$  with Q. Now each such set |St Q| has a join-structure in which the sets  $|\text{St } Q| \cap \Pr_{r-q+1} F_{n_s}$  appear also as joins with Q (unless they consist of Q alone). Therefore we can triangulate the sets  $\Pr_{r-q+2}^*|\text{St } Q| \subset \Omega_{r-q+2}$  by forming the join of  $\Pr_{r-q+2}^* Q$  with the triangulation of  $\Pr M^2$  that is already given. (Here we are regarding  $\Omega_{r-q+2}^*$  and  $\Omega_{r-q+2}$  as indistinguishable.)

Thus—possibly after a subdivision—we get a triangulation  $K(\Omega_{r-q+2})$  which satisfies the conditions of Theorem 6.1. Iterations of this process preserve its preconditions; and so, in a finite number of steps, we get the desired triangulation  $K(\Omega_r)$ . Theorem 1.4 follows.

7. Proofs of Theorems 1.5 and 1.6. Let  $f: S^3 \leftrightarrow S^3$ , n, and F be as in Theorem 1.5. We shall use the notations  $p_1 p_2 \cdots p_r$ ,  $F_i$ , and  $F_n$  as in Theorem 1.1 and its proof. By a classic result of P. A. Smith  $[S_1$ , Theorem 4, p. 707],  $F_n$  is a sphere of some dimension; and since  $F \subset F_n$ , it follows that  $F_n$  is either a 1-sphere or a 2-sphere.

LEMMA 7.1. For each 
$$i$$
,  $F_{n_i} = F$ .

PROOF. If  $F_{n_i}$  is a 1-sphere, this is clear. If not,  $F_{n_i}$  is a 2-sphere and we have  $f(F_{n_i}) = F_{n_i}$ ; the proof is exactly the same as that of Theorem 3.8. Thus  $f|F_{n_i}$  is a periodic homeomorphism of a 2-sphere onto itself with a 1-sphere F as its fixed-point set. Therefore  $f|F_{n_i}$  is an involution and  $n_i$  is even, =2k for some positive integer k. By Theorem 3.3 it follows that  $f^{n_i}: S^3 \leftrightarrow S^3$  preserves local orientation at each point of  $F_{n_i}$ . Since dim  $F_{n_i} = 2$ , this contradicts Theorem 3.4. Therefore  $F_{n_i}$  is a 1-sphere and  $F_{n_i} = F$ , as desired.

Since F is tame, it follows that f is weakly statically tame. Thus Theorem 1.5 follows from Theorem 1.1.

Now let  $f: S^3 \leftrightarrow S^3$  and F be as in Theorem 1.6. By Theorem 1.5,  $S^3$  has a triangulation  $K(S^3)$  relative to which f is simplicial. By Theorem 1.4 of [M], F is the boundary of a 2-cell which is polyhedral relative to  $K(S^3)$ . Now  $K(S^3)$  can be chosen so that for each  $\sigma \in K(S^3)$ ,  $f(\sigma) = \sigma$  only if  $f|\sigma$  is the identity. Let v be a vertex of  $K(S^3)$ , lying in F. Then Bd|St v| is a 2-sphere  $S^2$ , and  $f|S^2$  is periodic, with exactly two fixed points. Since f is simplicial, it follows easily that  $f|S^2$  preserves orientation. (We hardly need Kerékjártó's results in such a case.) Therefore f preserves orientation. The main result of [M<sub>9</sub>] asserts that under all these conditions, f is conjugate to a rotation, which was to be proved. (For a simpler proof of the result of [M<sub>9</sub>], see P. A. Smith [S<sub>2</sub>].)

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